

Lights of Tuscany 2022

**Towards combining
lasers and gene editing
via plasmonics**

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This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 862714.



OUTLOOK

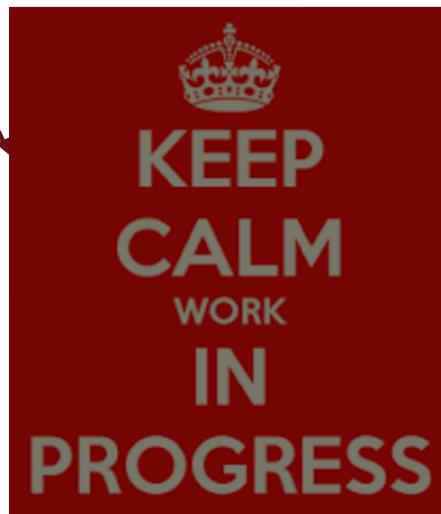
Towards combining lasers and gene editing via plasmonics

Three keywords:

1. Lasers:
which are the most relevant features for the experiment?
2. Gene editing:
what is that?
3. Plasmonics:
how can it help?

OUTLOOK

**Towards combining
lasers and gene editing
via plasmonics**



Three keywords:

1. Lasers:
which are the most relevant features for the experiment?
2. Gene editing:
what is that?
3. Plasmonics:
how can it help?

preliminary experimental results, so far...

LASER

A. White's notebook on the first realization of a HeNe laser

Courtesy of Alan White

DATE *May 26 1962*
CASE No. *33774-77*

Major operation of the 6328 Å HeNe line 25-26
has been achieved in a neon-helium gas mixture in
a spherical resonator with reflecting mirrors
peaked at 6360 Å. The mixture is approximately
10 parts helium to 1 part neon to a total pressure of ~1.5 mm Hg
initial filling pressure. Considerable spitting flow
occurred in the tube (which is operated to cold cathodes).
Near the actual pressure is probably lower. The
waser beam is bright red and is visible in a
lighted room if allowed to impinge on white screen.
Two patterns of distinctly visible in the beam
similar to those seen with the IR maser at 1.15 micron.
The energy level diagram illustrating the direct pumping
mechanism and the maser levels is shown below.

Witnessed and Handwritten by
A. D. White
May 26, 1962
Optical Lab, Univ. of Michigan



J. Hecht, Opt. Eng. 49 01002 (2010)



LASER

A solution seeking a problem

A. White's notebook on the first realization of a HeNe laser

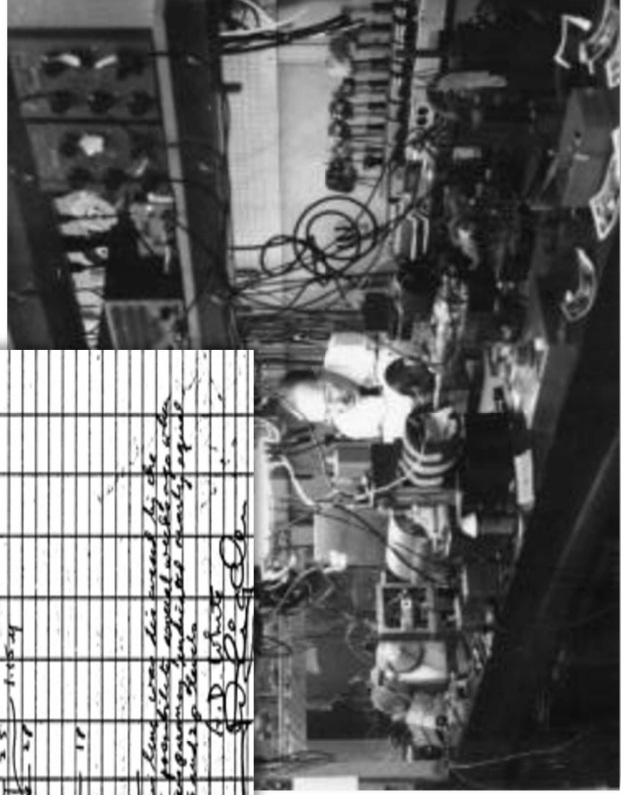
Cognome **FUSO**
 Nome **FRANCESCO**
 nato il **21/01/1962**
 (atto n. **76** P. **1** S. **A**)

Courtesy of Alan White


DATE **Weds 26 1962**
 CASE No. **3328-27**

Answer question of the 1328 & Marline 26-27
 has been achieved in a near blue-green region in
 a spherical pump cavity with reflecting eyes
 peaked at 6360 Å. The output is approximately
 10 parts per million to 1 part per million of 1.8 kwatts
 output pump power. Considerable quenching has
 occurred in the tube (which is a quartz tube with one
 near beam is right out end a white area
 light room of almost 60 cm long in a white room
 in a pattern of distinctly white in the beam
 similar to those seen with the IR pump at 1.5 micron
 The empty building is about 100 cm long
 section and the beam ends in a thin blue
 He
 25
 20
 15
 10
 5
 0

Working pattern: The pump is used to the
 underlying as a high pressure tube equipped with
 pumping system located in 35 cm long tube
 with a 10 cm diameter. The pump is used to
 produce a 1000 watt output. The pump is
 operated at 1000 Hz.



Developer of the Laser Calls It 'A Solution Seeking a Problem'



Number of times this story has been reprinted: 1000

THE NEW YORK TIMES, WASHINGTON, MAY 1, 1962

WASHINGTON (AP) — Alan White, a physicist at the Massachusetts Institute of Technology, today announced that he had developed a laser that he called "a solution seeking a problem."

White said the laser, which he built in a laboratory at the Massachusetts Institute of Technology, is the first of its kind. It is a helium-neon laser, and it is the first to be built in a laboratory.

The laser is a helium-neon laser, and it is the first to be built in a laboratory. It is a helium-neon laser, and it is the first to be built in a laboratory.

\$10,614,000 Refunding Offer to Holders of U.S. Treasury Securities

THESE ARE THE LAST DAYS HOLDERS OF MATURING BONDS MAY ACCEPT THE FOLLOWING EXCHANGE:

Outstanding Issue	Accepted for New Issue
5 1/2% 1964	5 1/2% 1964
5 1/2% 1965	5 1/2% 1965
5 1/2% 1966	5 1/2% 1966

The following table lists the new issues to be issued in exchange for the old issues. The exchange will be completed by the Treasury of the United States.

We believe the issue of this exchange will be attractive.

The First Boston Corporation

Approval: NEW YORK BOSTON PITTSBURGH
 Acceptance: PHILADELPHIA LOS ANGELES CHICAGO
 Underwriter: SAN FRANCISCO CLEVELAND

EACH YEAR YOU EARN 4.97%

WHEN OUR 4.85% IS COMPOUNDED DAILY AND MAINTAINED

4.97% IS EARNED EACH YEAR WHEN OUR 4.85% IS COMPOUNDED DAILY AND MAINTAINED

J. Hecht, Opt. Eng. 49 01002 (2010)



LASER

A problem found and solved



Goldfinger - 1964

LASER

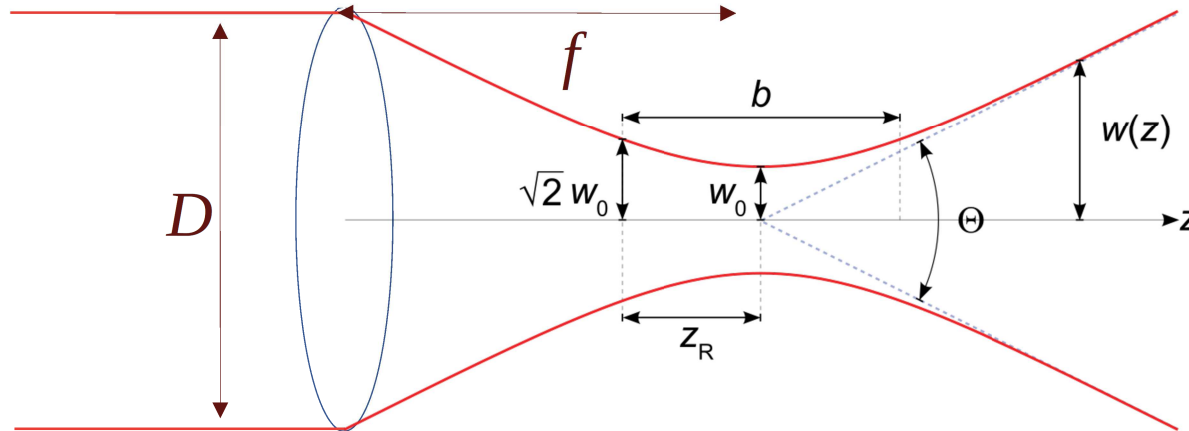
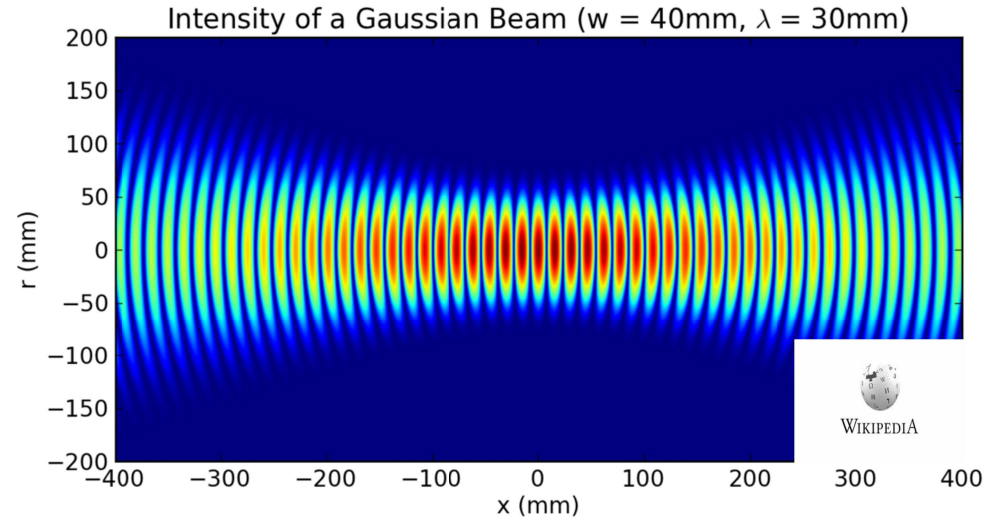


Laser as an extremely intense source of energy

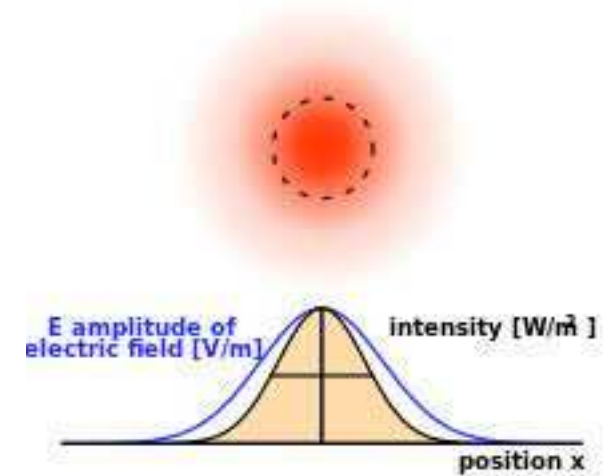
Goldfinger - 1964

LASER

$$I = \frac{\langle P \rangle}{A}$$

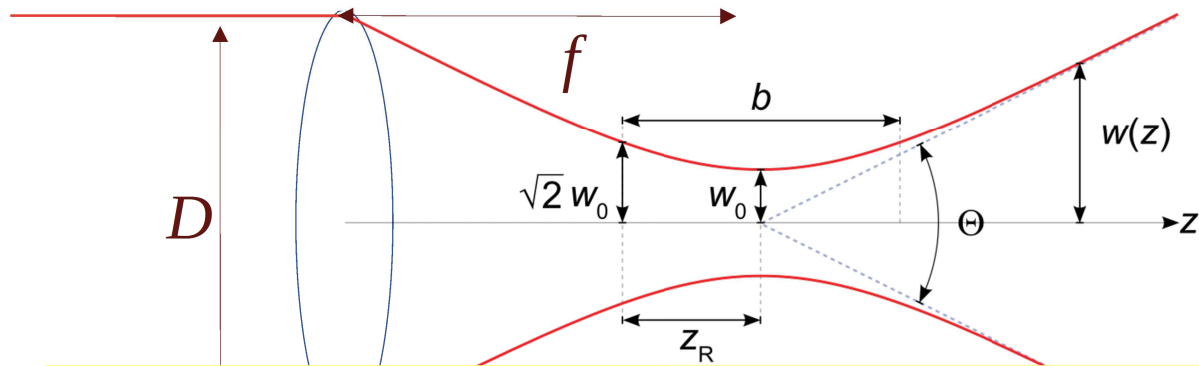
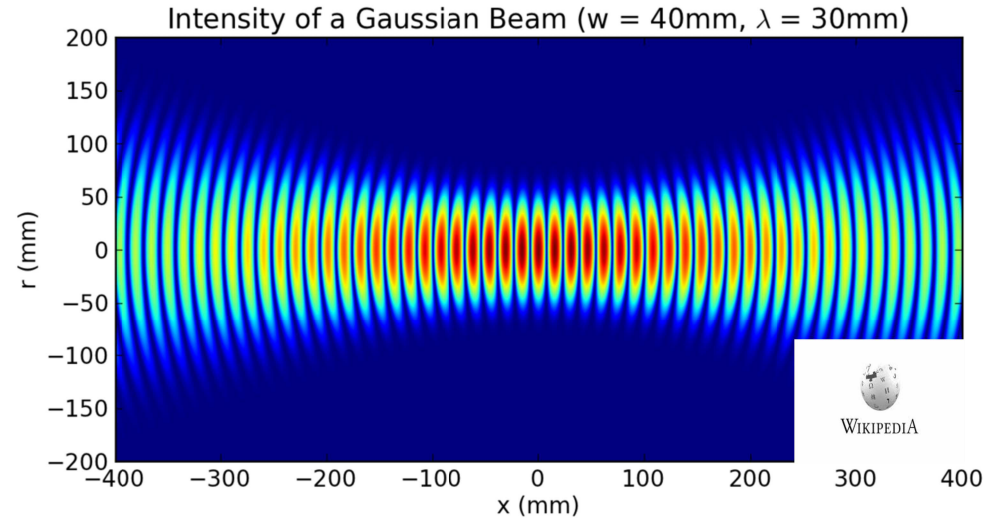


$$2w_0 = M^2 \frac{2\lambda}{\pi\theta} = M^2 \frac{4\lambda f}{\pi D}$$



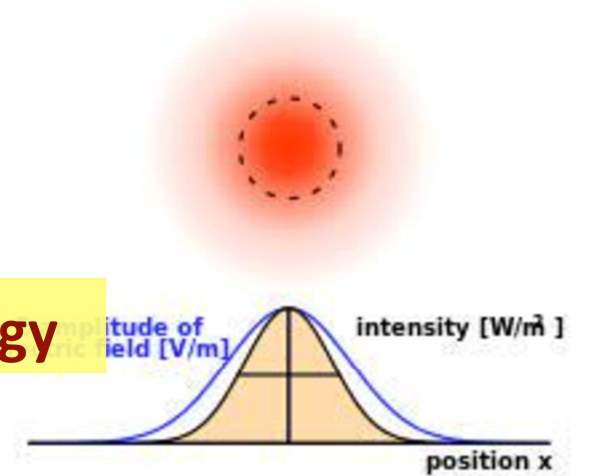
LASER

$$I = \frac{\langle P \rangle}{A}$$



Laser as an extremely localized source of energy

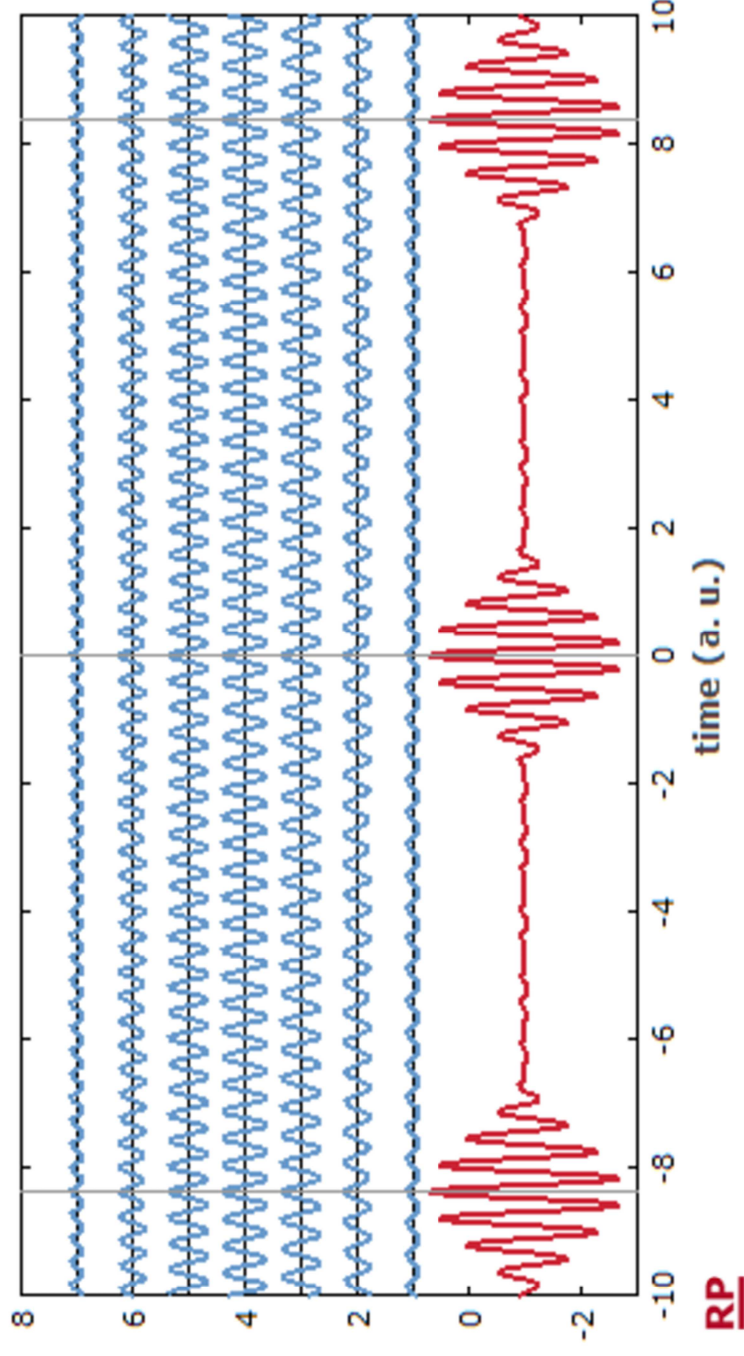
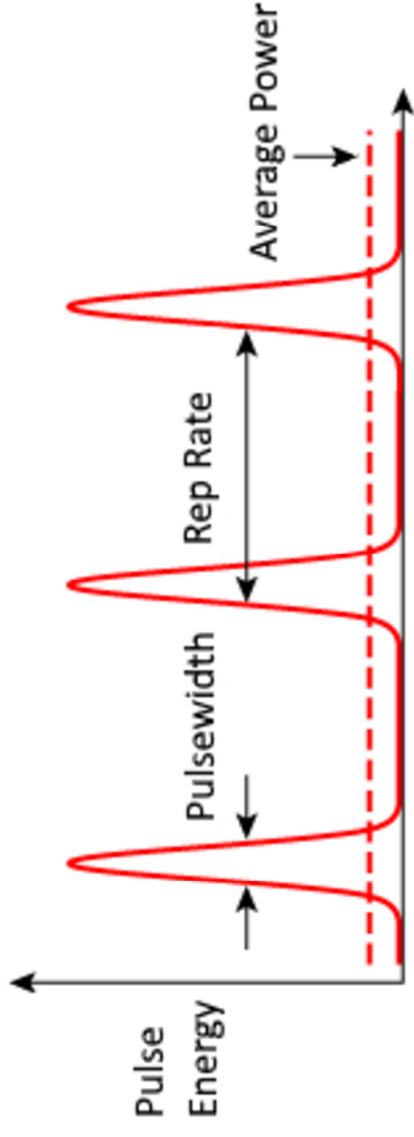
$$2w_0 = M^2 \frac{2\lambda}{\pi\theta} = M^2 \frac{4\lambda f}{\pi D}$$



LASER

Pulsed lasers

$$I = \frac{\langle E \rangle}{A \Delta t}$$

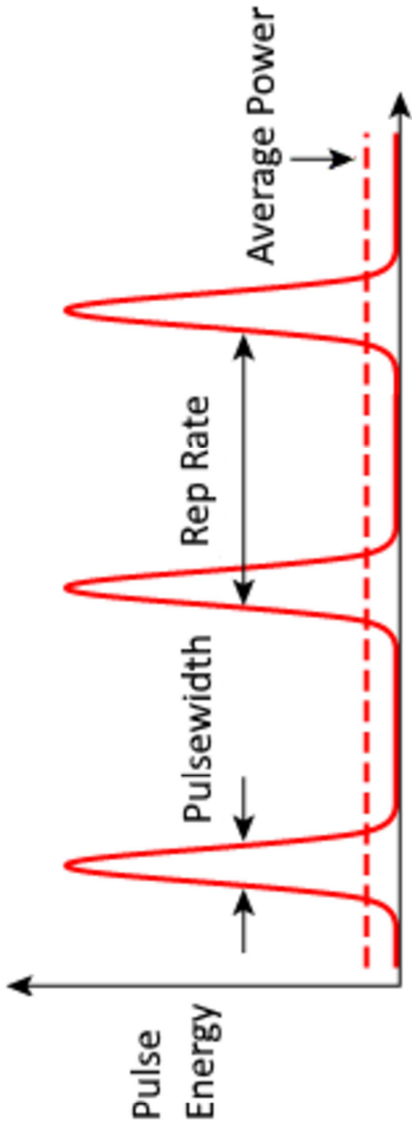


Mode locking

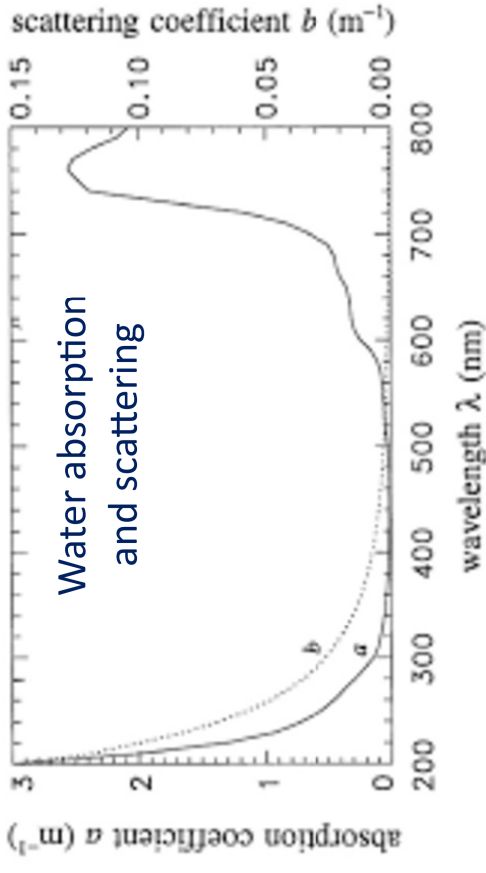
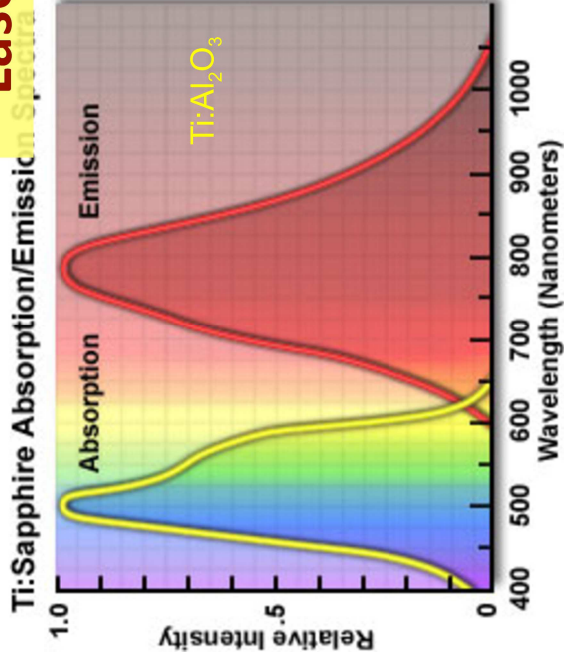
LASER

Pulsed lasers

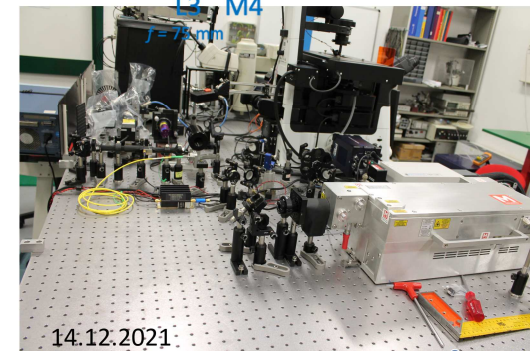
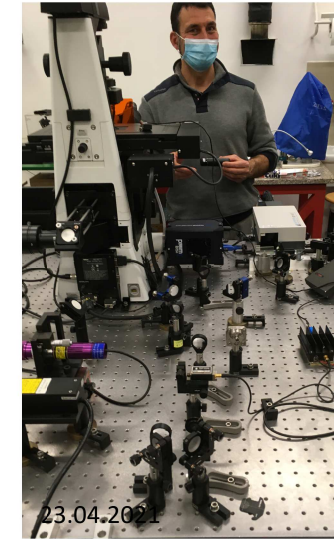
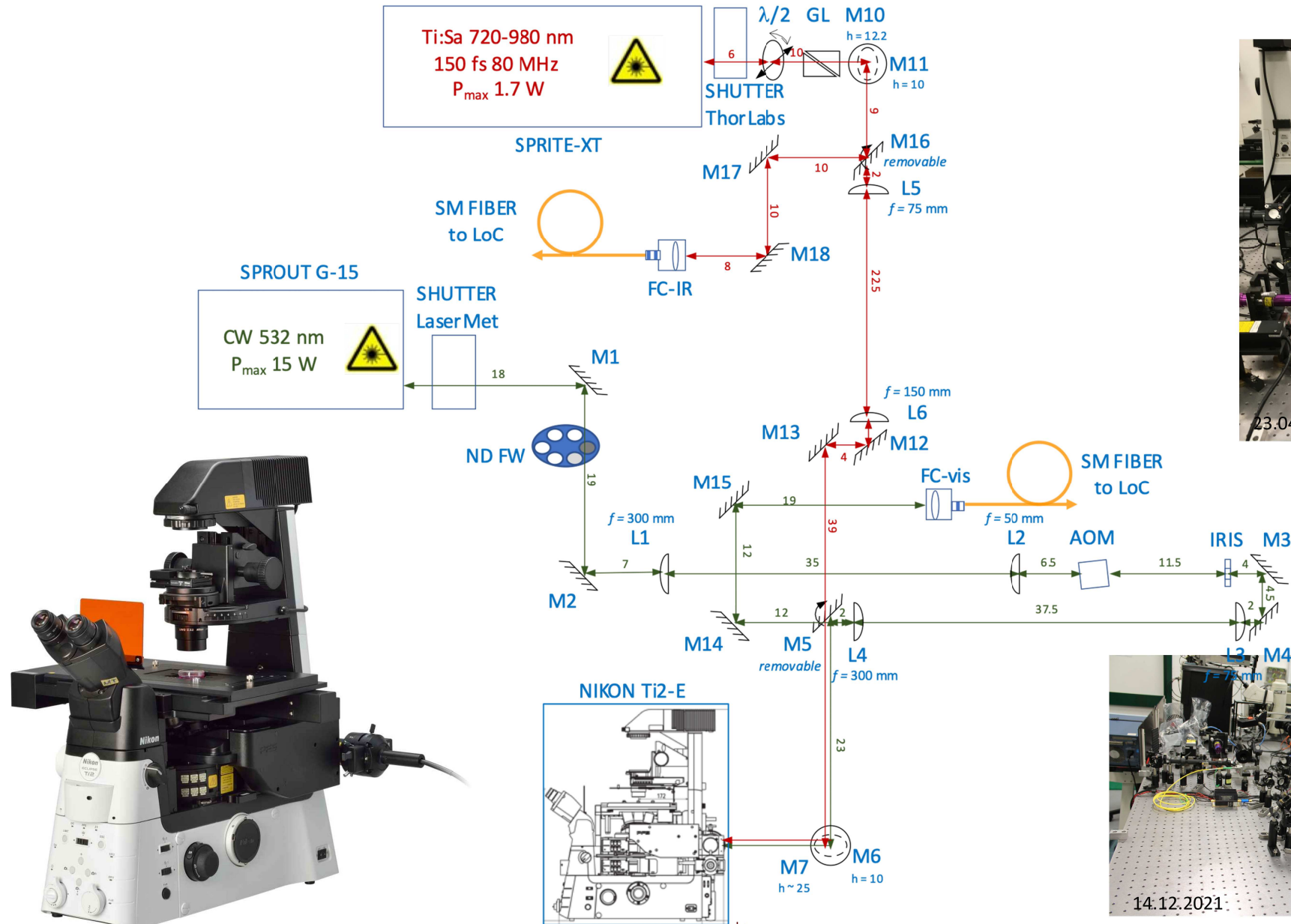
$$I = \frac{\langle E \rangle}{\Delta t} \cdot A$$



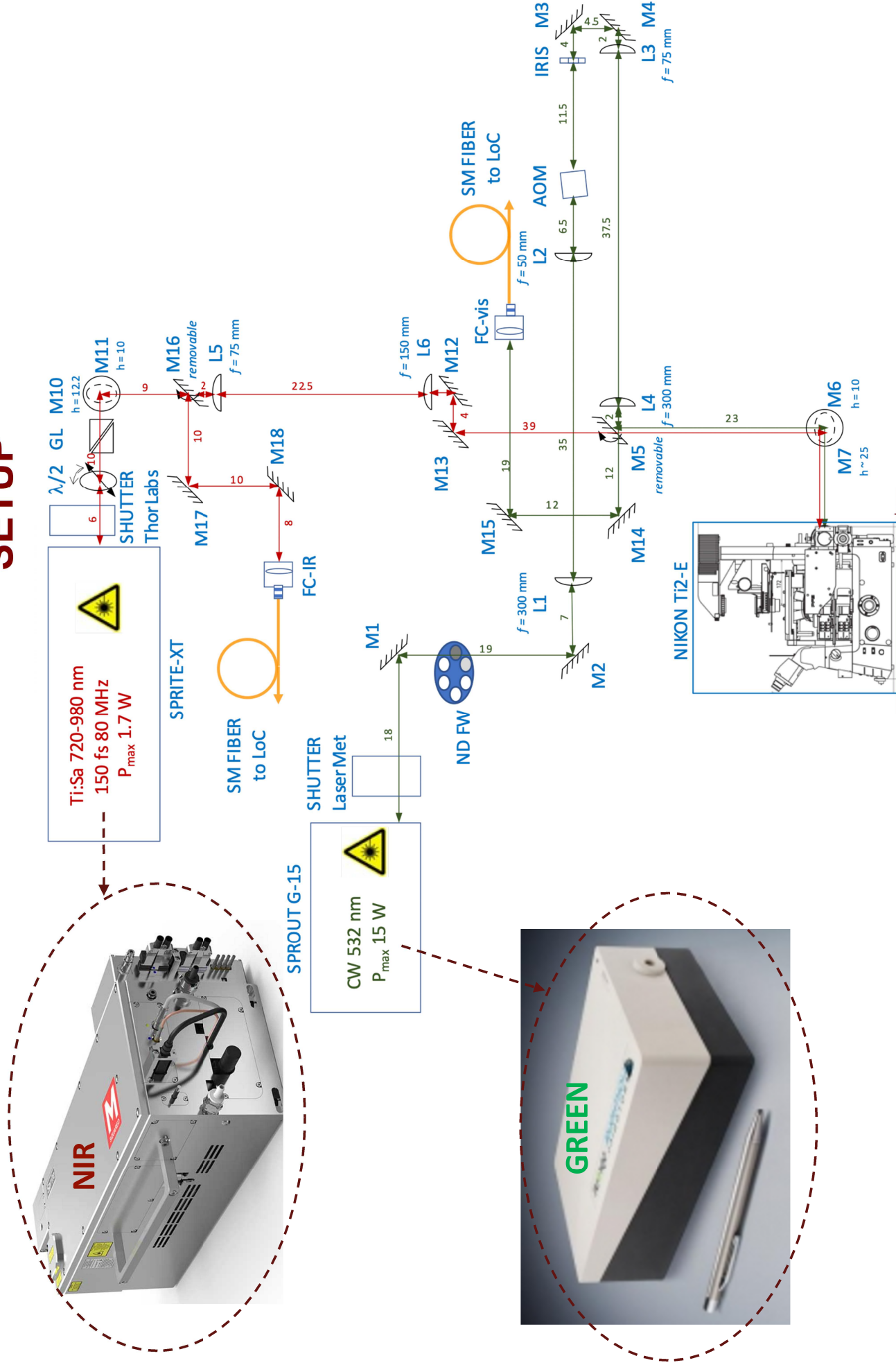
Laser as a temporally localized source of energy



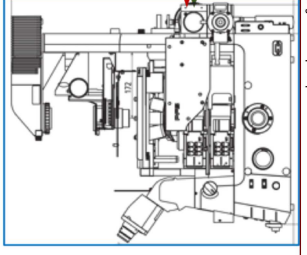
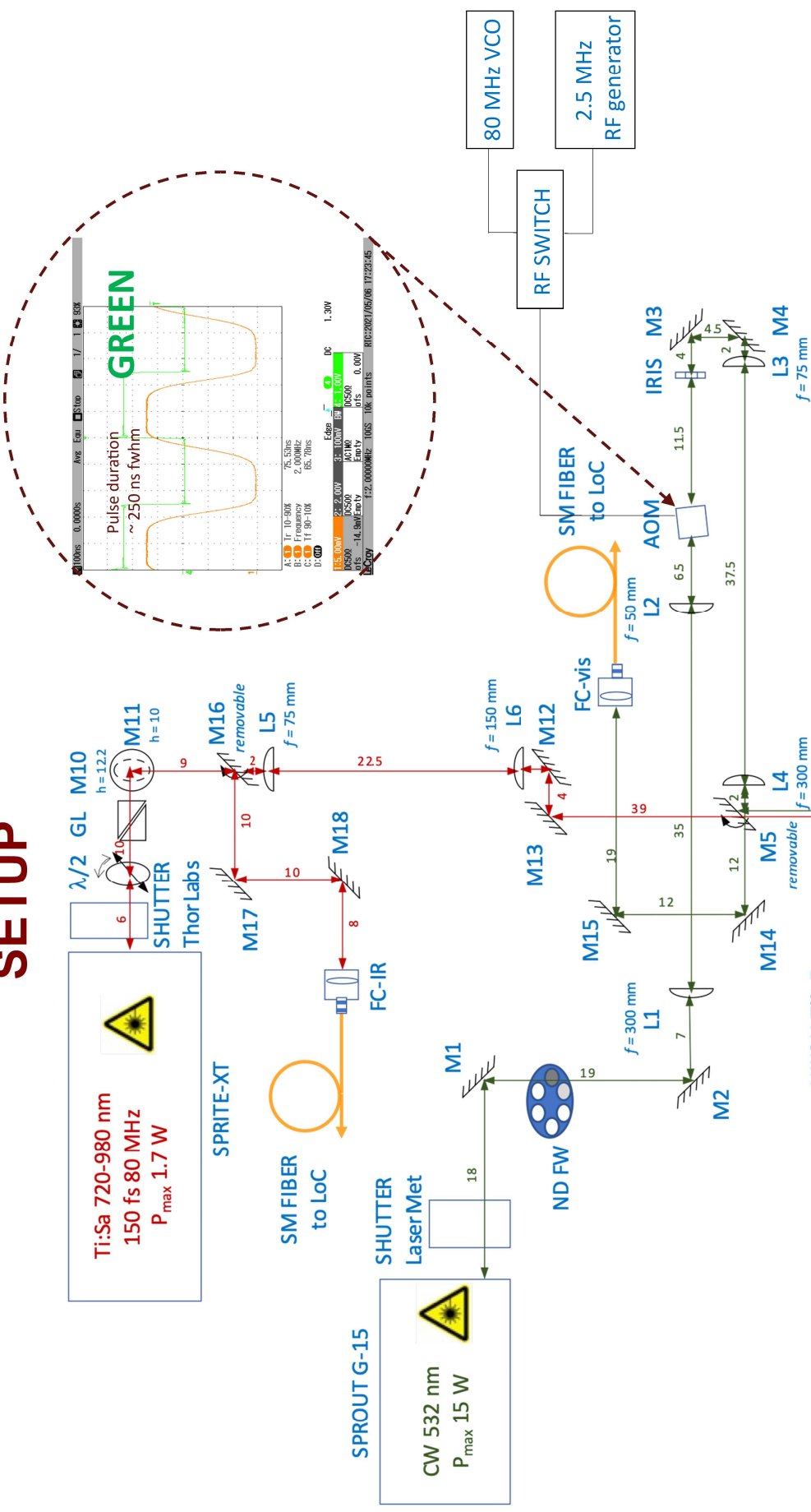
SETUP



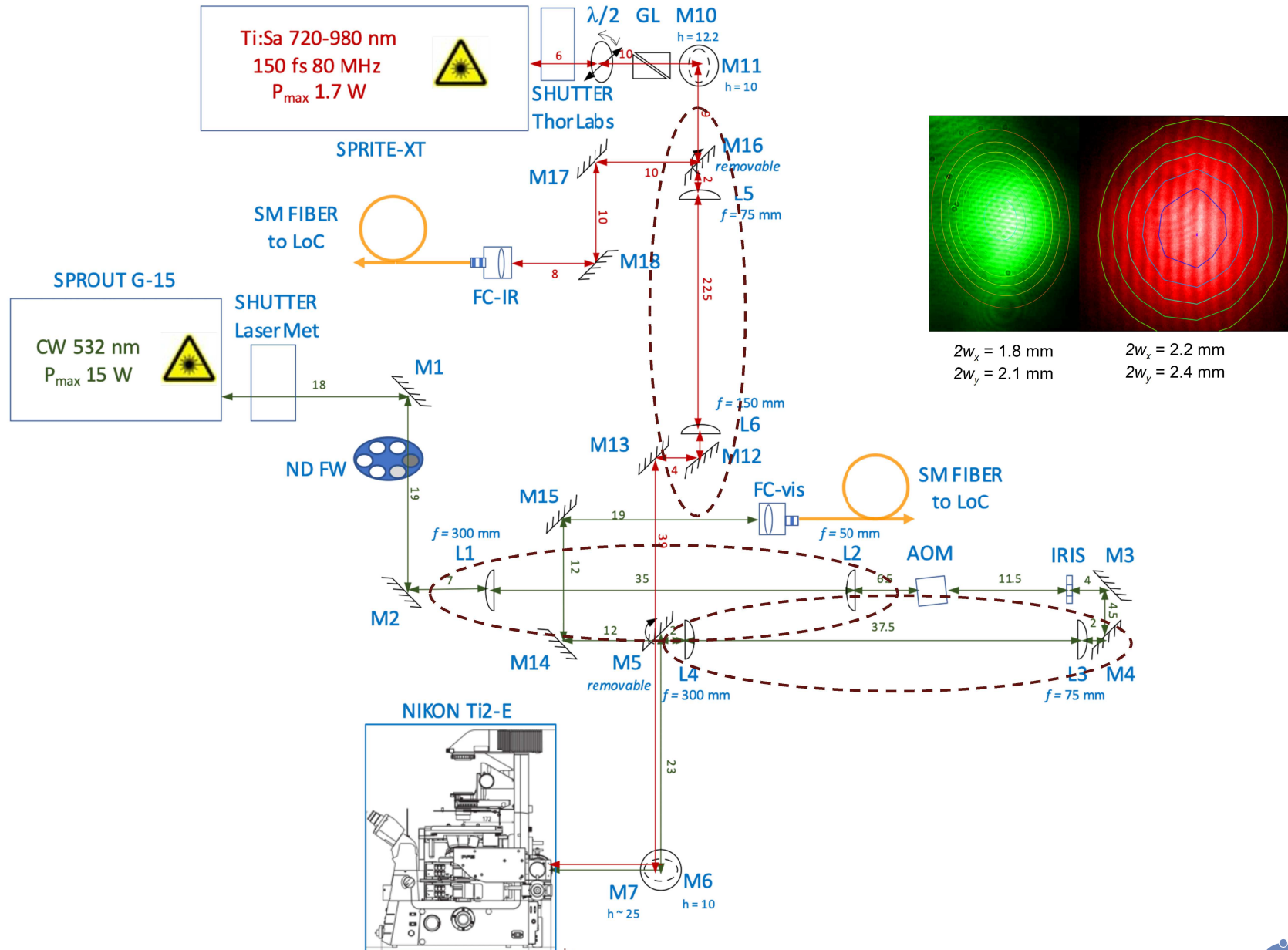
SETUP



SETUP

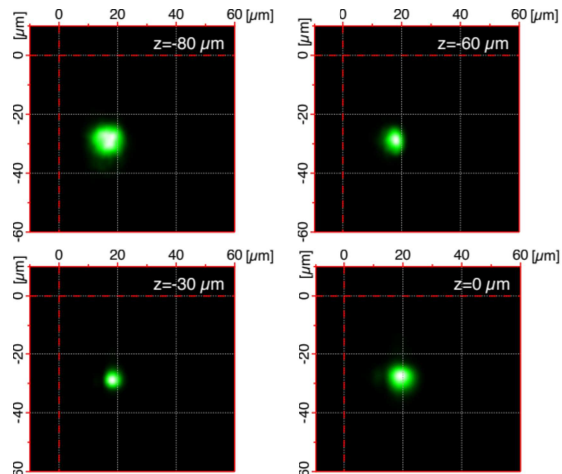


SETUP

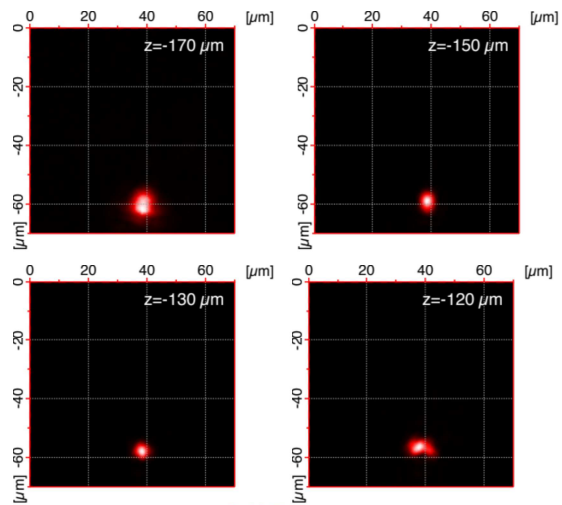


SETUP

Images of the focal spot



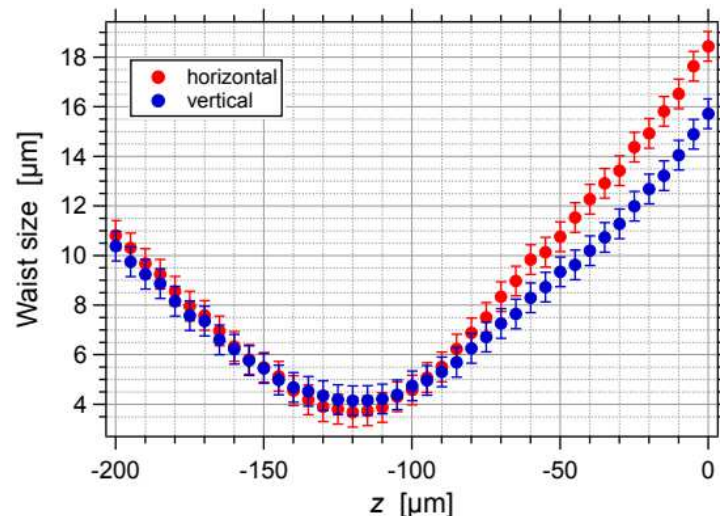
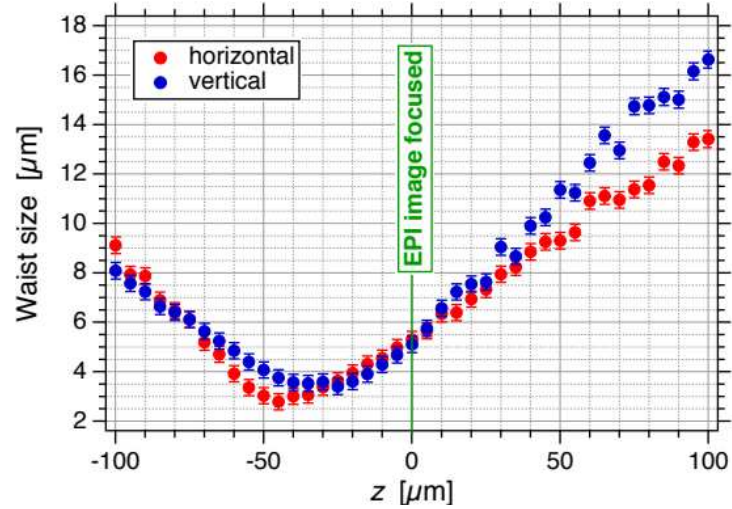
GREEN



NIR

10x/0.25 objective

Beam envelope



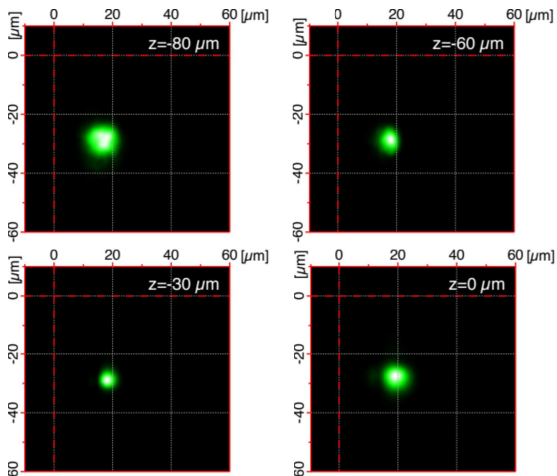
$$I(r, z) = I_0 \left(\frac{w_0}{w(z)} \right)^2 \exp \left(-\frac{2r^2}{w(z)^2} \right)$$

$$w(z) = w_0 \sqrt{1 + \left(\frac{z}{z_R} \right)^2}$$

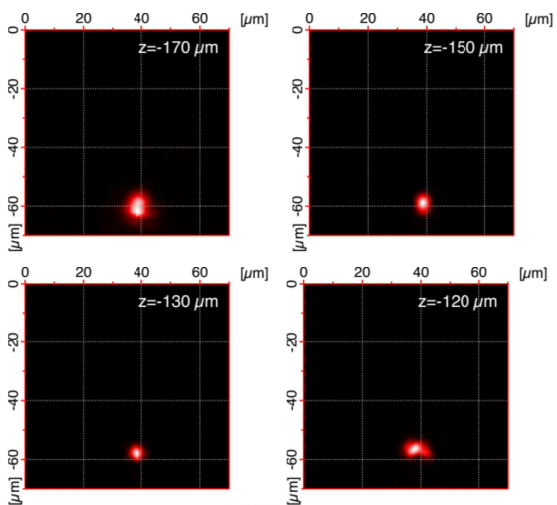
$$z_R = \frac{\pi w_0^2}{\lambda}$$

SETUP

Images of the focal spot



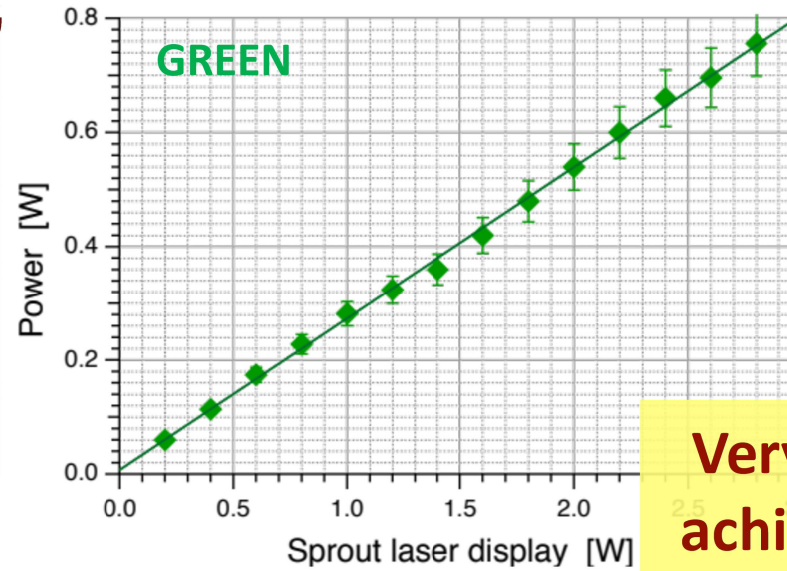
GREEN



NIR

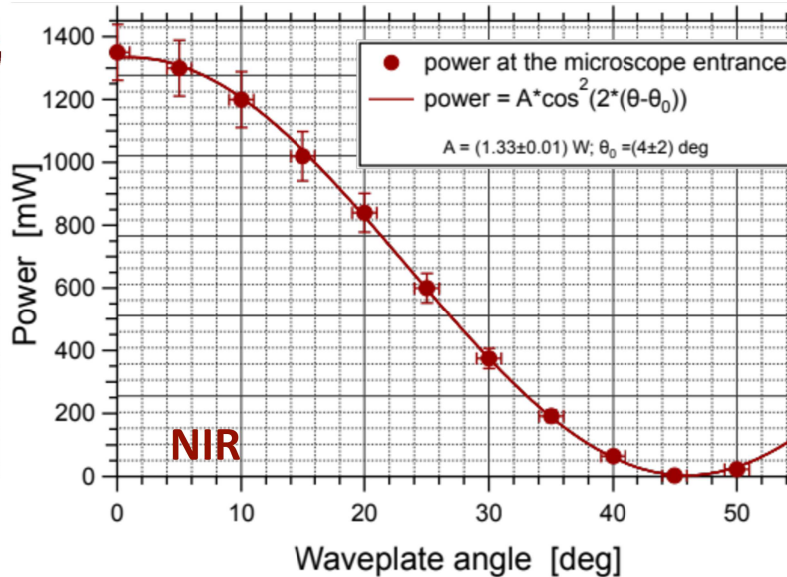
10x/0.25 objective

Estimated peak intensity* $[W/m^2]$
 * Assuming a $38 \mu m^2$ focal spot area
 3.0×10^9



Very large laser intensity achieved in the focal spot

Estimated peak intensity* $[W/m^2]$
 * Assuming 150 fs square pulses and a $38 \mu m^2$ focal spot
 3.5×10^{14}



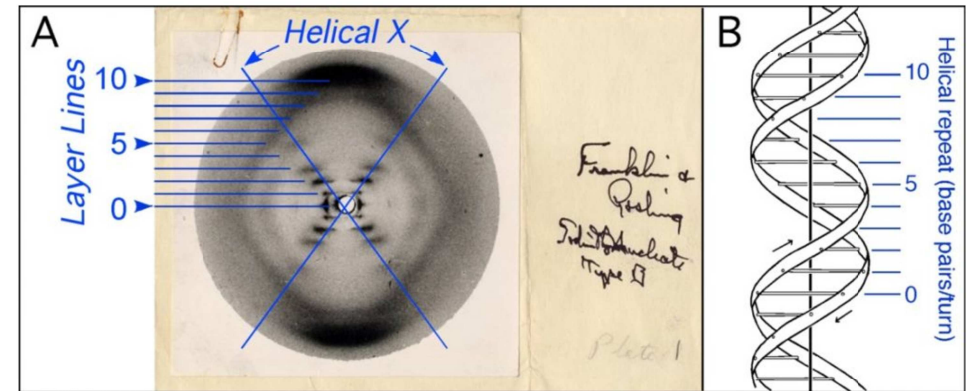
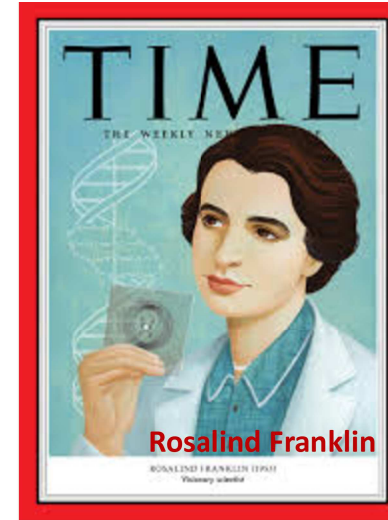
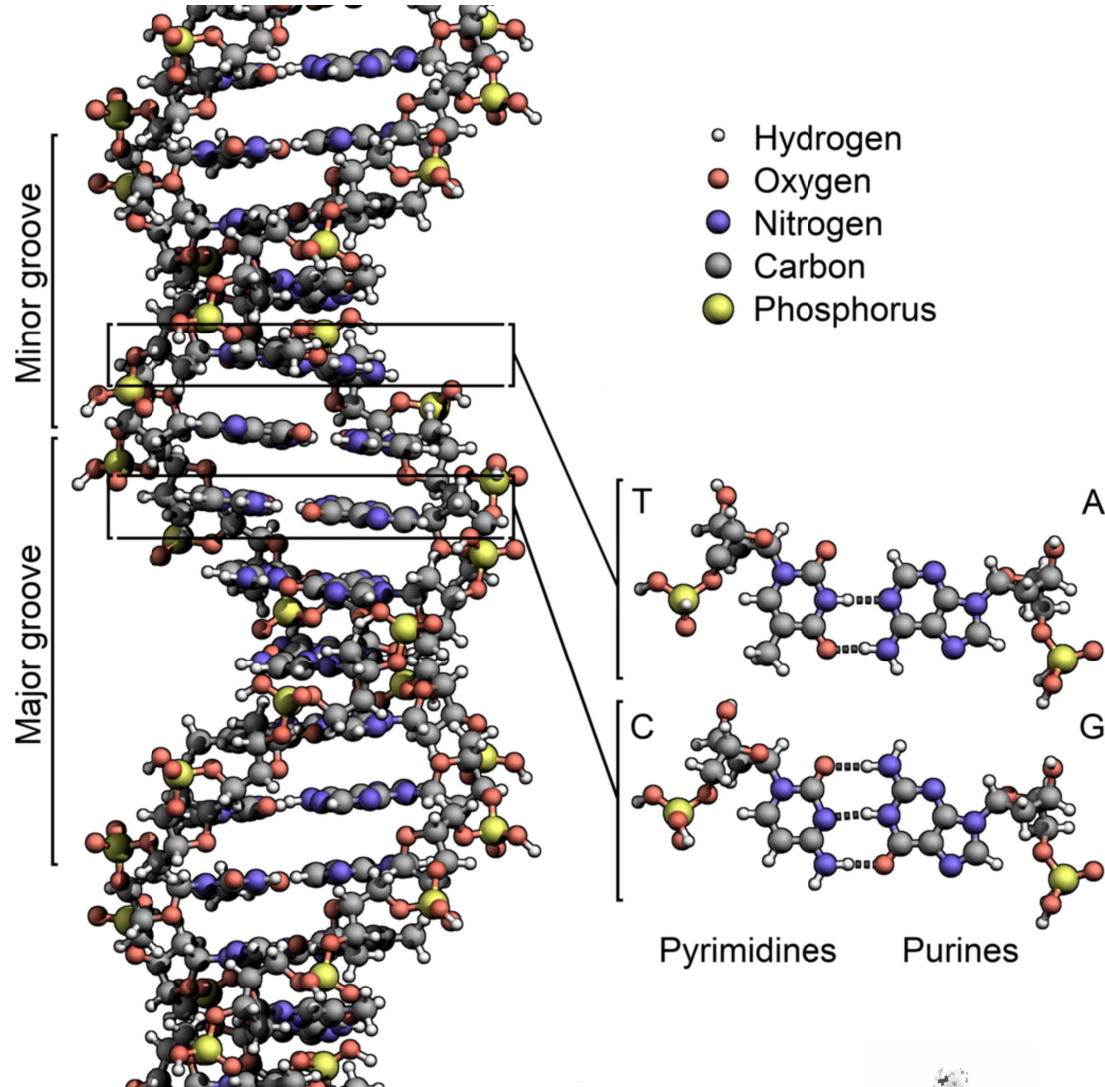
Relative power at objective output** [%]

Estimated fluence* $[mJ/cm^2]$

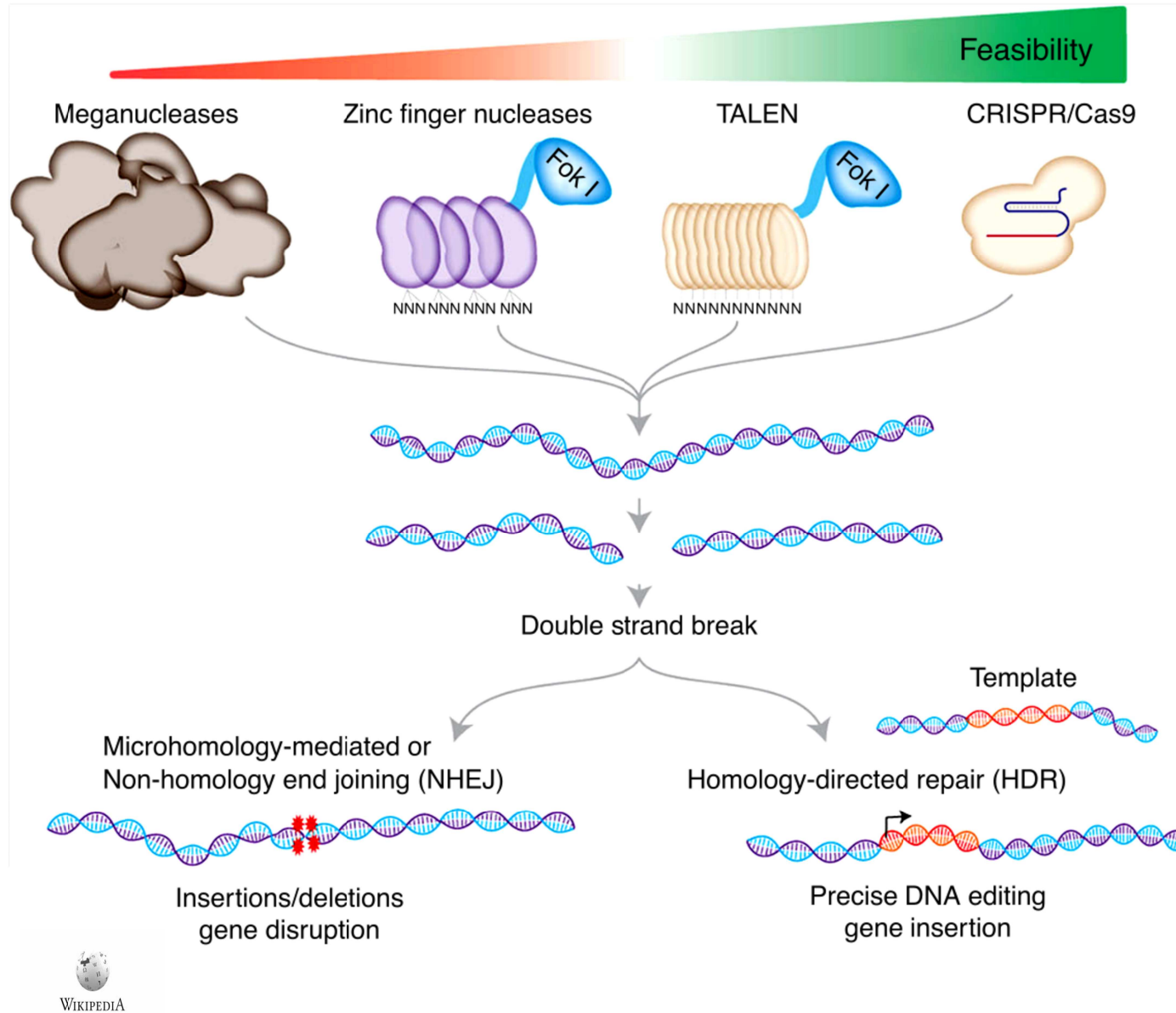
** Accounts for 72% transmission by the last two mirrors, the 10:90 beam-splitter, the 10x/0.25 objective

2. GENE EDITING: WHAT IS THAT?

THE DNA



GENE EDITING

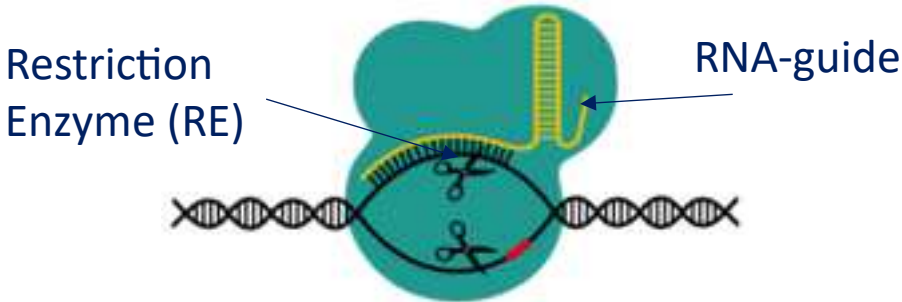


CRISPR-Cas9

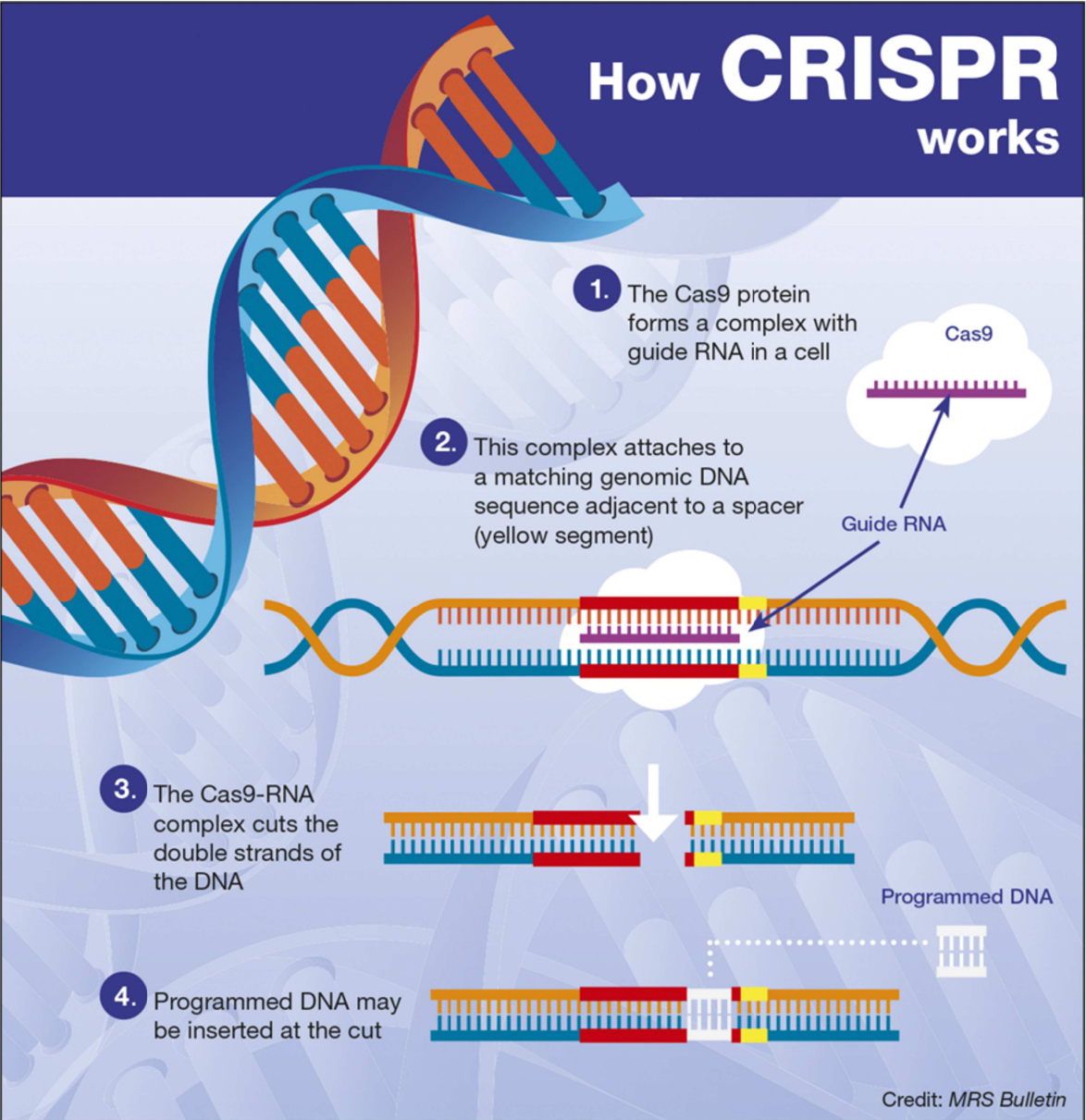


Clustered
Regularly
Inter
Spaced
Palindromic
Repeat

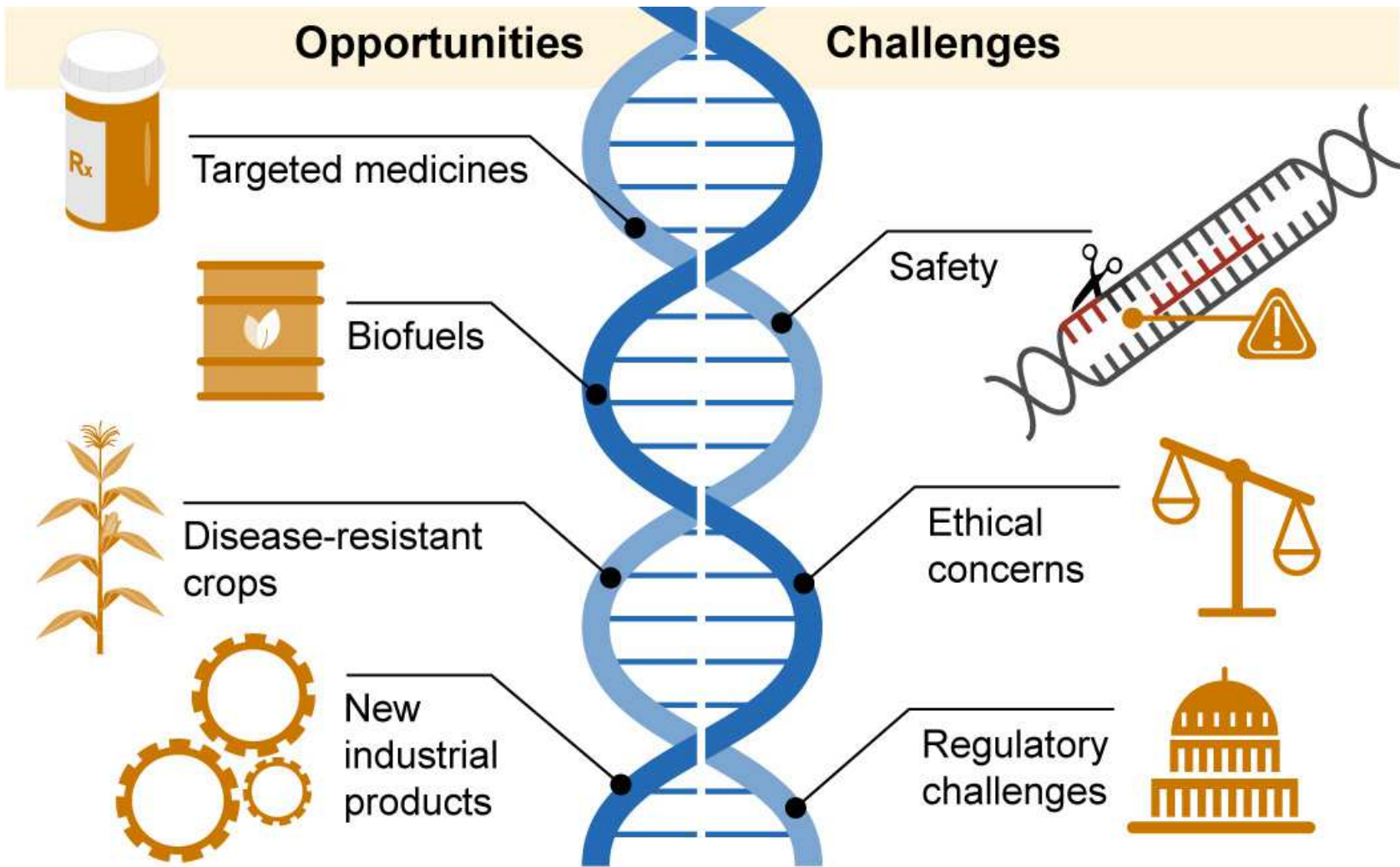
Cas9
(CRISPR associated system) protein



CRISPR-Cas9

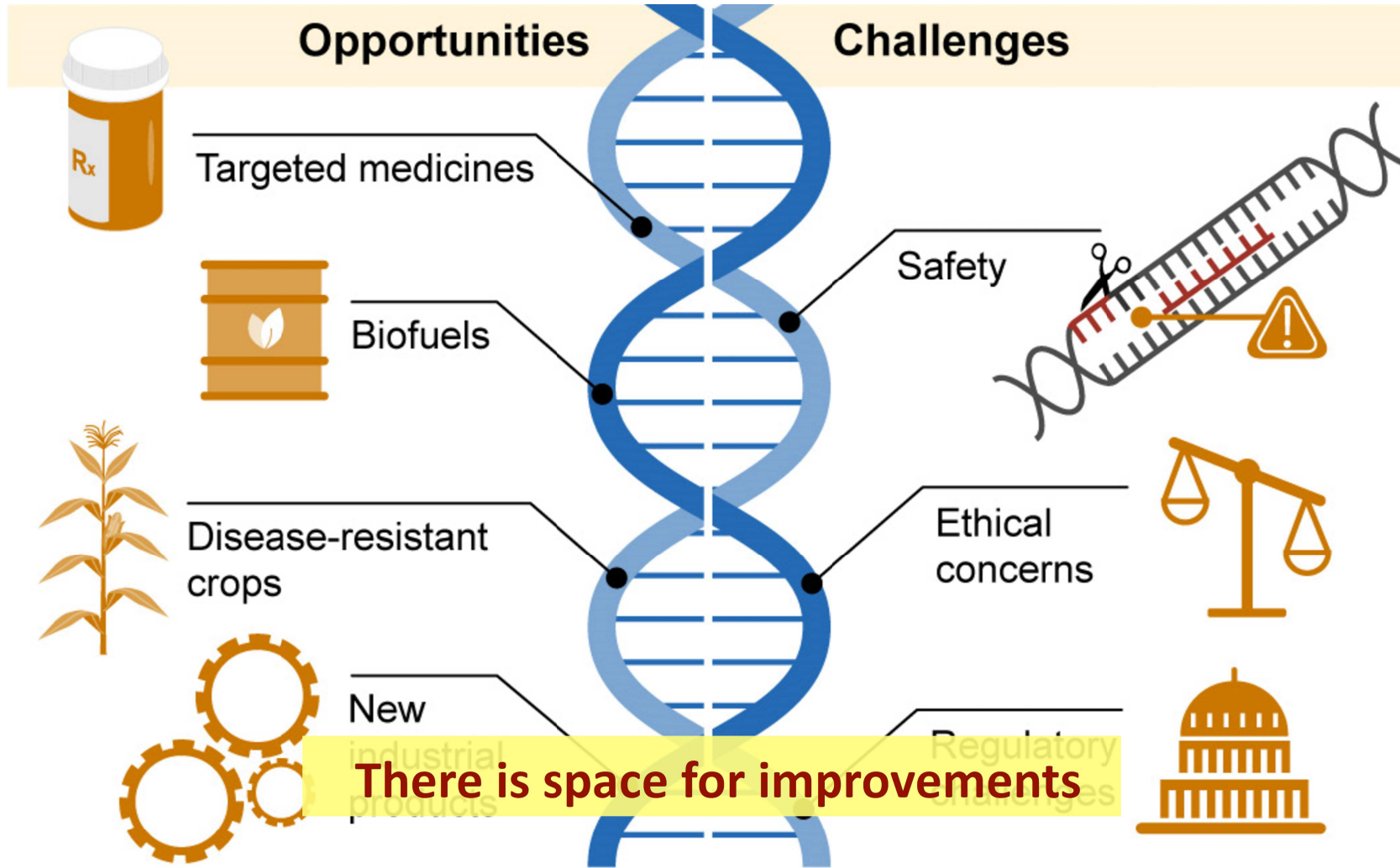


OPEN ISSUES



Source: GAO. | GAO-20-478SP

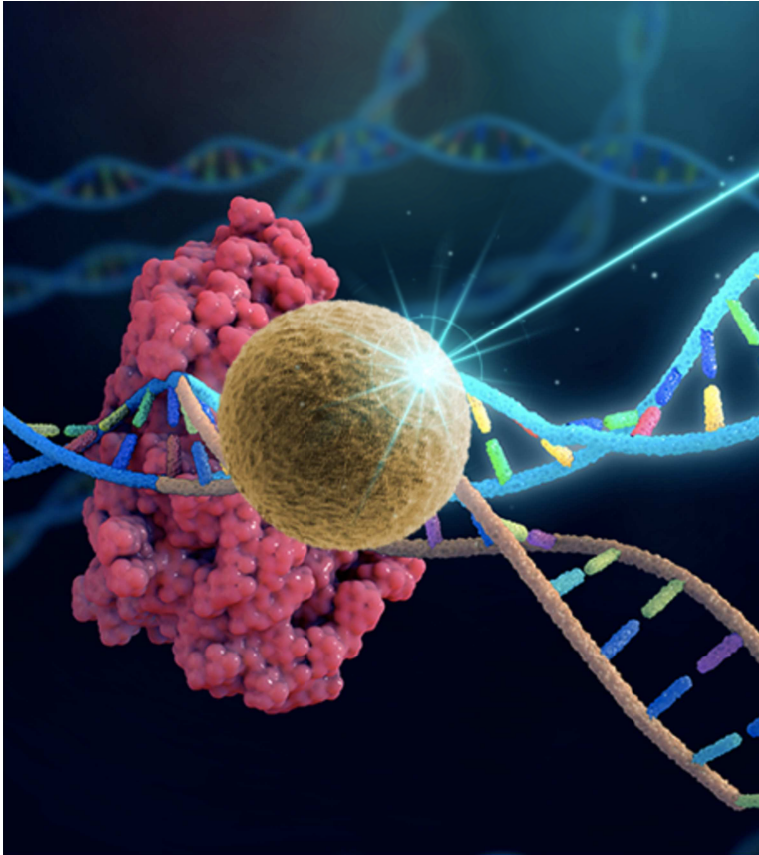
OPEN ISSUES



Source: GAO. | GAO-20-478SP

THE I-GENE PROJECT

In-vivo Gene Editing by NanotransducErs



1. A nanoparticle (NP) is incorporated in the enzyme formulation
2. Nanoparticle acts as a nanotransducer
3. Upon laser absorption NP undergoes a localized temperature increase
4. Temperature increase triggers the scissor operation and DNA cleavage occurs
5. Negligible temperature increase should occur outside the enzyme-concerned volume



ISTITUTO ITALIANO DI TECNOLOGIA



prochimia surfaces

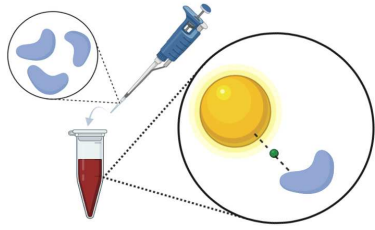


This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 862714.

<https://i-geneproject.eu>

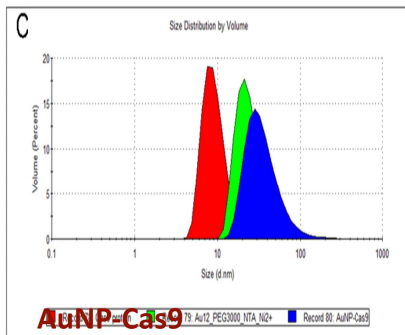
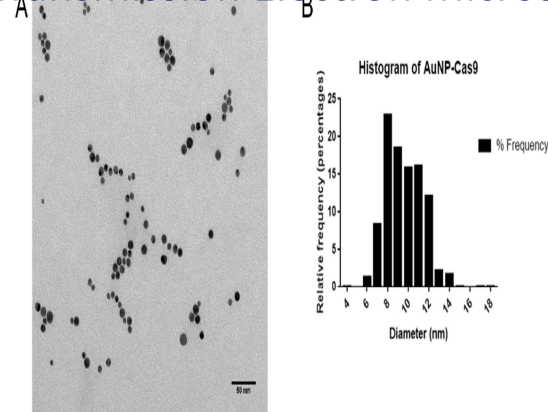


THE COMPLEX



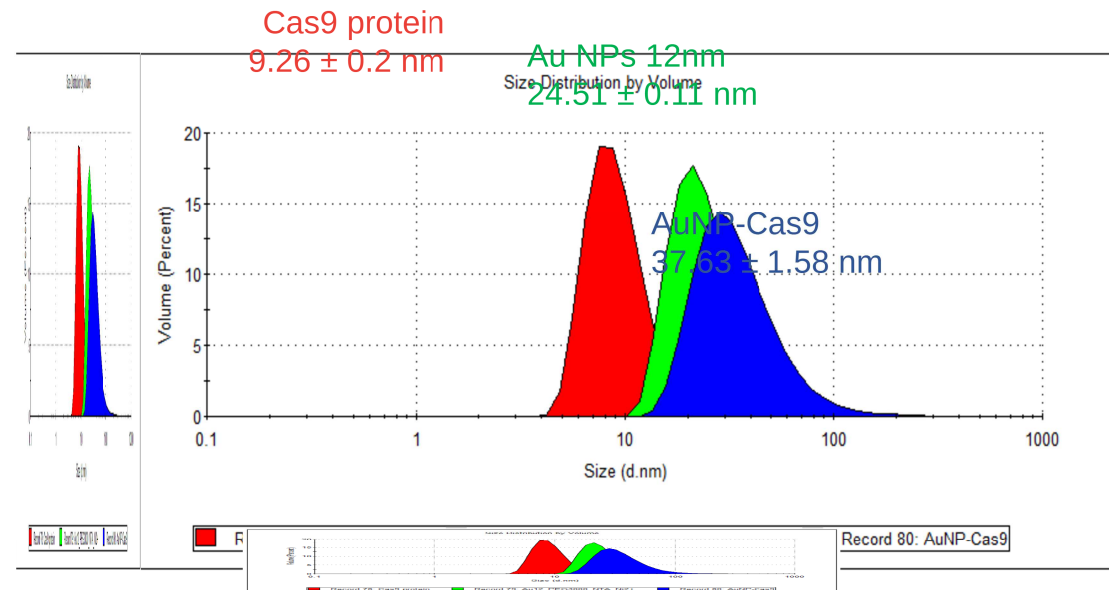
Au spherical nanoparticles (AuNP) functionalized by affinity binding

Transmission Electron Microscopy (TEM)



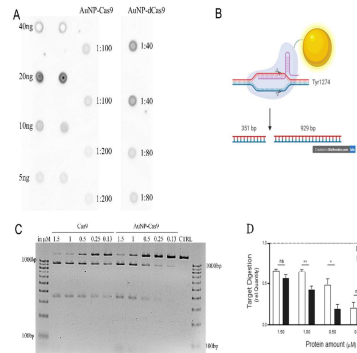
	Size (d.nm):	St Dev (d.nm):
Cas9 protein	9,264	3,036
Au12_PEG3000_NTA_N12+	24,37	9,057
AuNP-Cas9	37,63	23,89

Dynamic Light Scattering (DLS)



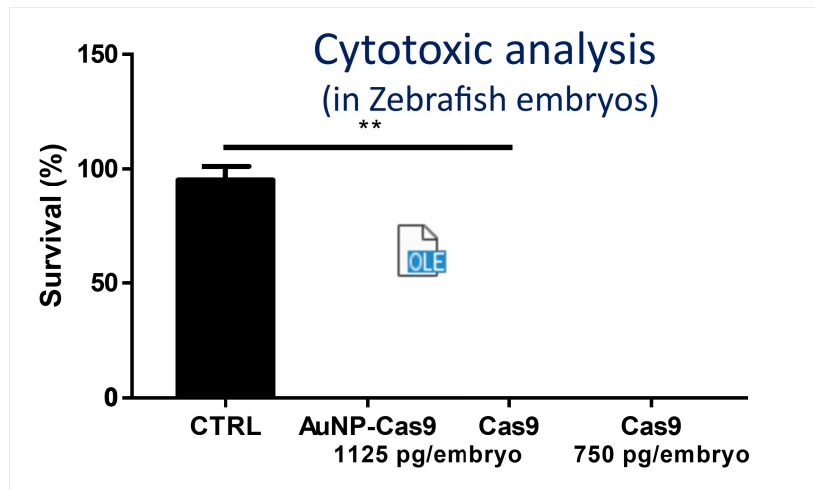
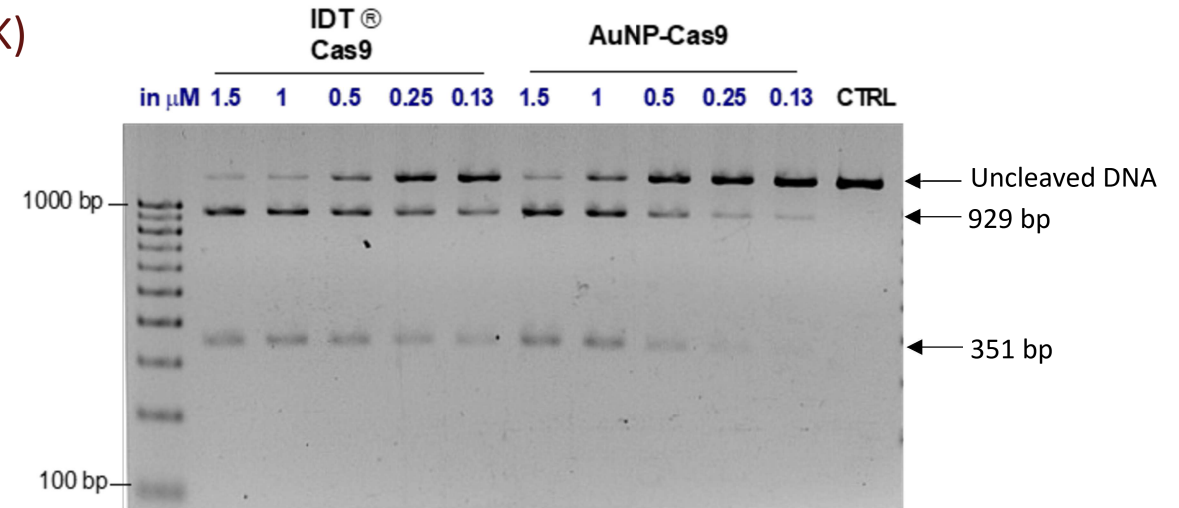
Complex effectively synthesized

THE COMPLEX



Expected enzyme activation temperature: 57 °C ($\Delta T \sim 20$ K)

Electrophoresis gel analysis



Scissors still work and the complex is viable (despite NPs)

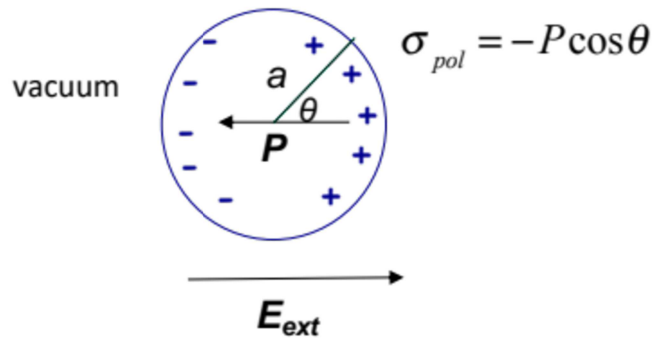
3. PLASMONICS: HOW CAN IT HELP?

LOCALIZED PLASMON RESONANCES

STATIC POLARIZABILITY OF A DIELECTRIC SPHERE

Let's consider a dielectric, rather than metal, sphere immersed in a vacuum: we will see that the result can be exported to the metal case with no major change

The problem considered here is thus the calculation of polarizability according to Clausius-Mossotti (aka Lorentz-Lorenz) formula



By definition: $\vec{P} = \epsilon_0 \chi \vec{E}_{ext} = \epsilon_0 (\epsilon_r - 1) \vec{E}_{ext}$

By definition: $\vec{P} = \frac{N_{dip}}{Vol} \vec{p} = \frac{N_{dip}}{\frac{4}{3}\pi a^3} \vec{p}$

For an elementary dipole at the center: $\vec{p} = \alpha \vec{E}$

$$E_{loc,z} = -\frac{1}{4\pi\epsilon_0} \int_S \frac{\sigma_{pol} \cos\theta}{a^2} dS$$

with $dS = 2\pi a^2 \sin\theta d\theta$

$$E_{loc,z} = \frac{P}{2\epsilon_0} \int_0^\pi \cos^2\theta \sin\theta d\theta = \frac{P}{3\epsilon_0} \rightarrow \vec{E}_{loc} = \frac{\vec{P}}{3\epsilon_0}$$

$$\vec{E} = \vec{E}_{ext} + \vec{E}_{loc} = \vec{E}_{ext} + \frac{\vec{P}}{3\epsilon_0}$$

$$\vec{P} = \frac{N_{dip}}{\frac{4}{3}\pi a^3} \alpha \left(\vec{E}_{ext} + \frac{\vec{P}}{3\epsilon_0} \right) = \epsilon_0 (\epsilon_r - 1) \vec{E}_{ext}$$

$$\rightarrow \frac{N_{dip}}{\frac{4}{3}\pi a^3} \vec{E}_{ext} \alpha \left(1 + \frac{\epsilon_0 (\epsilon_r - 1)}{3\epsilon_0} \right) = \epsilon_0 (\epsilon_r - 1) \vec{E}_{ext}$$

$$\Rightarrow \alpha \propto \frac{\epsilon_r - 1}{\epsilon_r + 2}$$

LOCALIZED PLASMON RESONANCES



Ottaviano Fabrizio Mossotti

Da Wikipedia, l'enciclopedia libera.

Ottaviano Fabrizio Mossotti (Novara, 17 aprile 1791 – Pisa, 20 marzo 1863) è stato un **matematico**, **fisico**, **astronomo** e **accademico italiano**.

ability according to Clausius-Mossotti



LOCALIZED PLASMON RESONANCES

DRUDE MODEL FOR THE METAL

Drude model (classical, but its main results are in general agreement with quantum models):

$$\gamma = \frac{1}{\tau_c} = \frac{ne^2}{m\sigma_c} = \frac{\epsilon_0 \omega_p^2}{\sigma_c}$$

Electrons in the metal undergo collisions with the lattice, giving rise to a **damping** at rate γ

Electrons in a metal driven by an oscillating electric field are well described by a damped/driven motion:

$$m \frac{d^2 \vec{r}}{dt^2} + \gamma \frac{d\vec{r}}{dt} = -e\vec{E}$$

$$\vec{E} = \vec{E}(t) = \vec{E}_0 \exp(-i\omega t) \rightarrow \vec{r} = \vec{r}(t) = \vec{r}_0 \exp(-i\omega t)$$

$$\rightarrow -\omega^2 m \vec{r}_0 - i\omega \gamma m \vec{r}_0 = -e\vec{E}_0$$

$$\rightarrow \vec{r}_0 = \vec{E}_0 \frac{e}{m\omega^2 + i\omega\gamma m}$$

The polarization field can be written as:

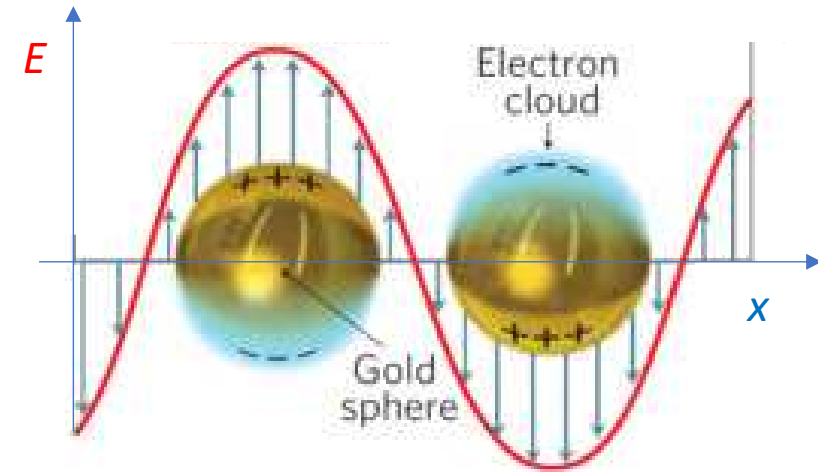
$$\vec{P} = -ne\vec{r} = -\frac{ne^2}{m\omega^2 + i\omega\gamma m} \vec{E} = -\epsilon_0 \frac{\omega_p^2}{\omega^2 + i\gamma\omega} \vec{E} = \chi \vec{E}$$

Drude model leads to a frequency dependent, complex dielectric constant describing the metal

$$\chi = -\frac{\omega_p^2}{\omega^2 + i\gamma\omega} \rightarrow \epsilon_r = 1 + \chi = 1 - \frac{\omega_p^2}{\omega^2 + i\gamma\omega}$$

LOCALIZED PLASMON RESONANCES

$$\alpha = 4\pi\epsilon_0 a^3 \frac{\epsilon_{\text{metal}} - \epsilon_{\text{dielectric}}}{\epsilon_{\text{metal}} + 2\epsilon_{\text{dielectric}}}$$

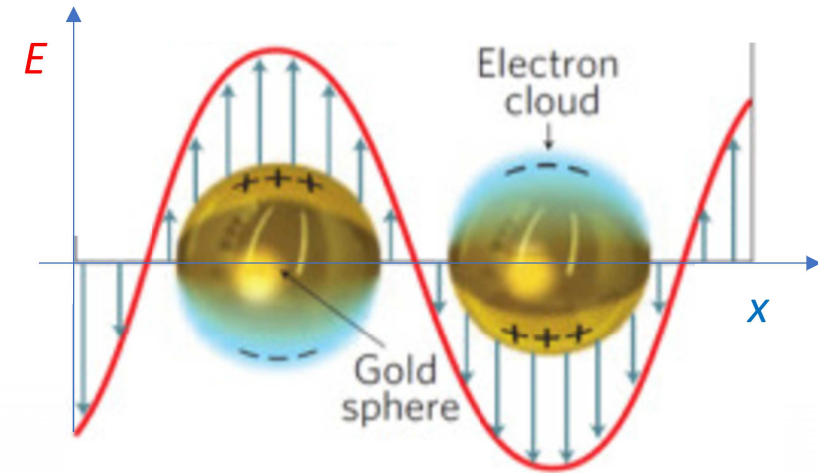


Lycurgus cup (IV century AD) @ British Museum



LOCALIZED PLASMON RESONANCES

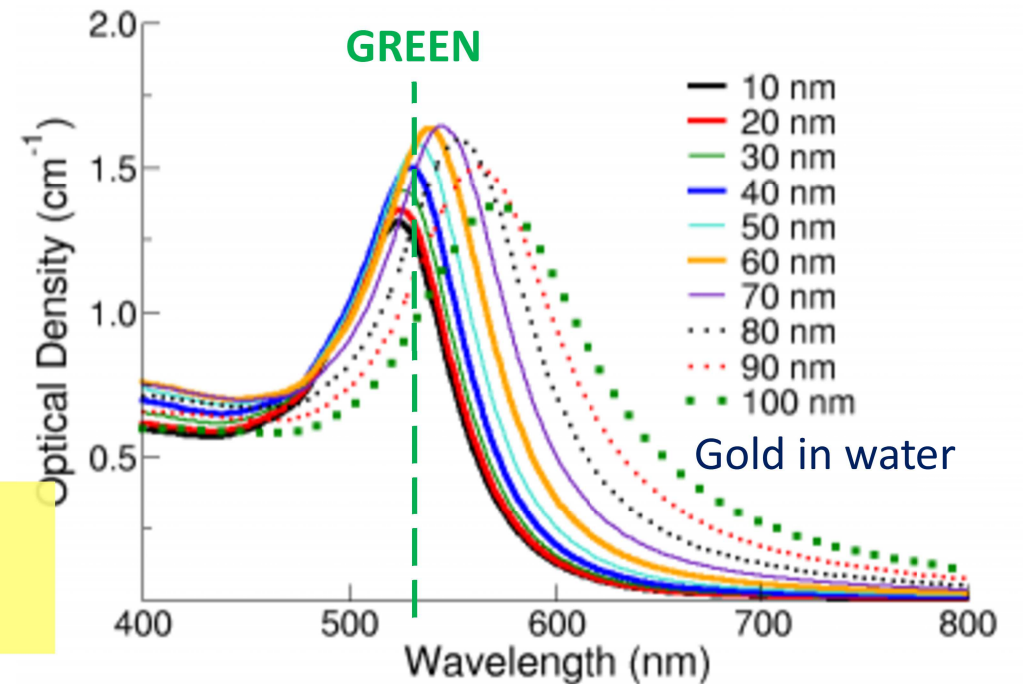
$$\alpha = 4\pi\epsilon_0 a^3 \frac{\epsilon_{\text{metal}} - \epsilon_{\text{dielectric}}}{\epsilon_{\text{metal}} + 2\epsilon_{\text{dielectric}}}$$



Lycurgus cup (IV century AD) @ British Museum

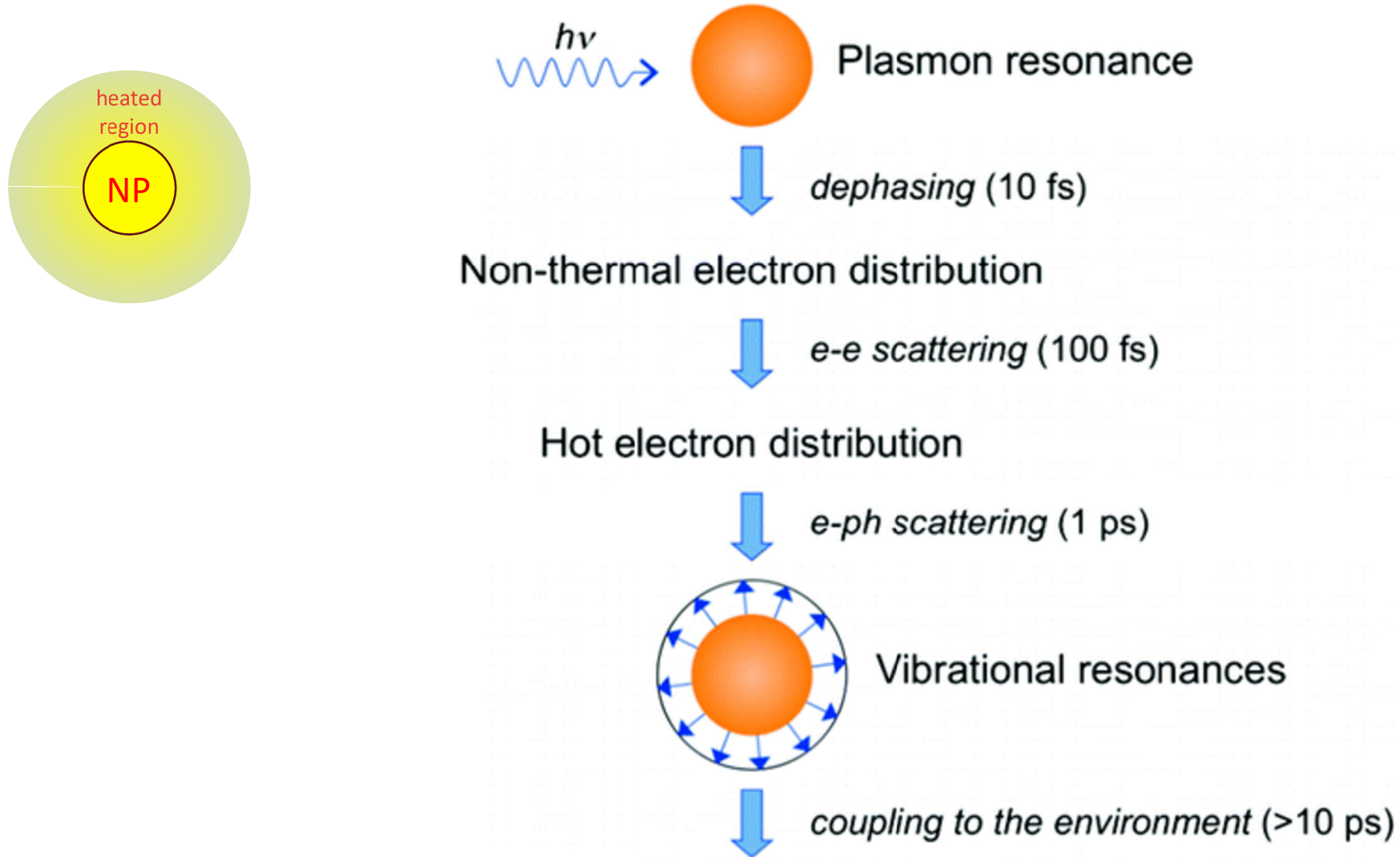


Resonance leads to enhanced absorption of light



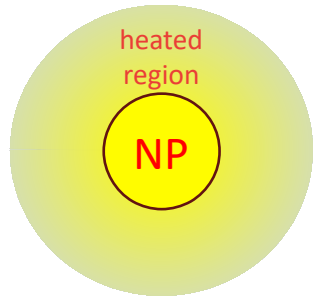
SIMULATED HEATING

Multiphysics simulation including light absorption, temperature increase, heat propagation

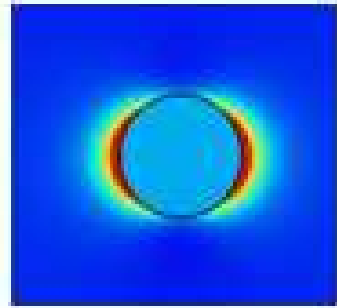


SIMULATED HEATING

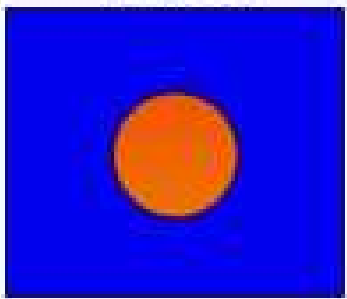
Multiphysics simulation including light absorption, temperature increase, heat propagation



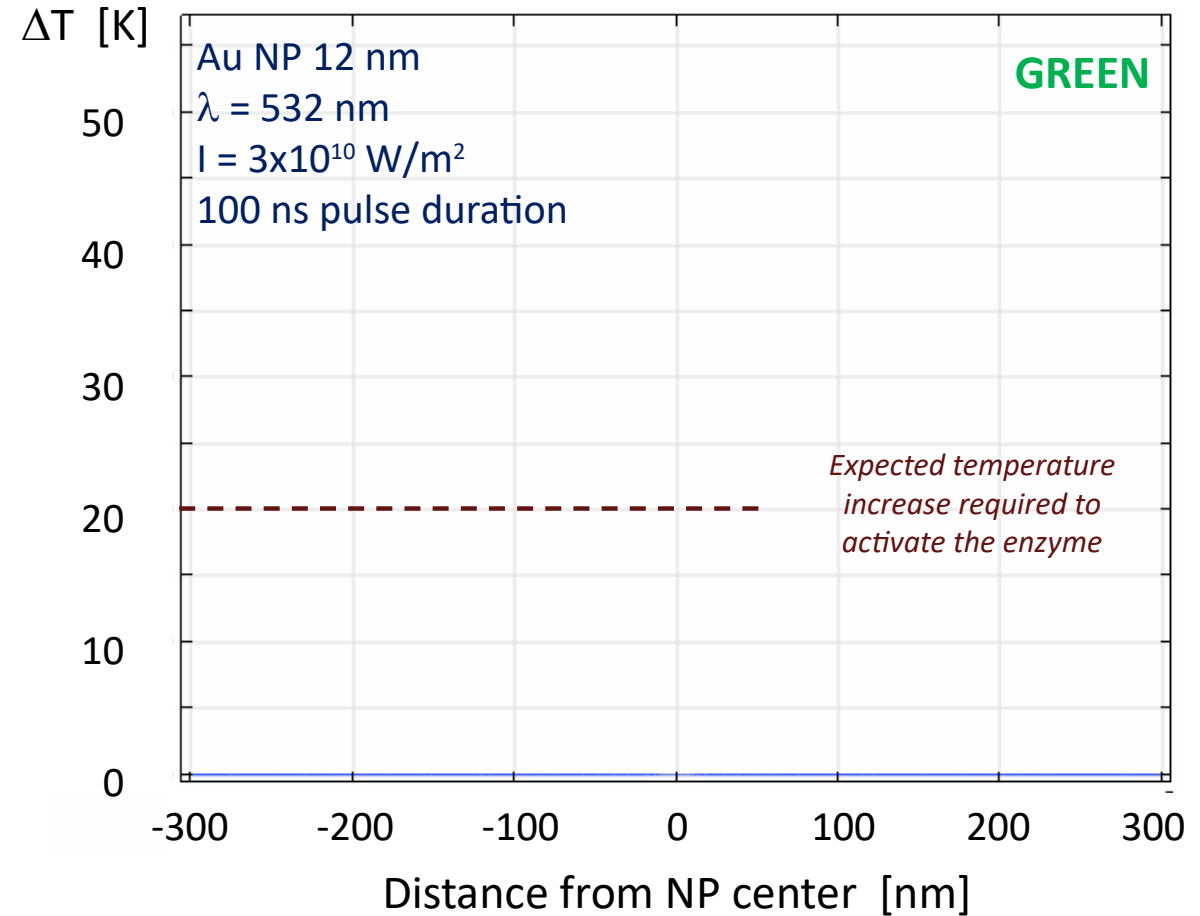
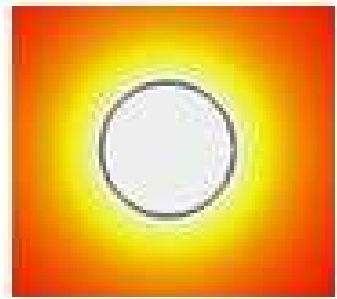
Electric field amplitude



Power absorption



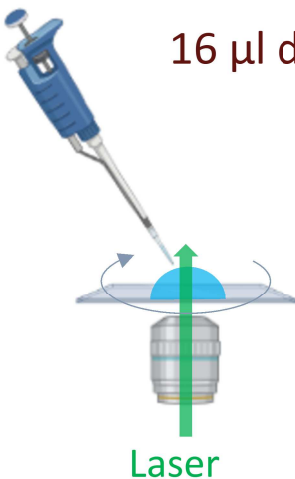
Temperature increase



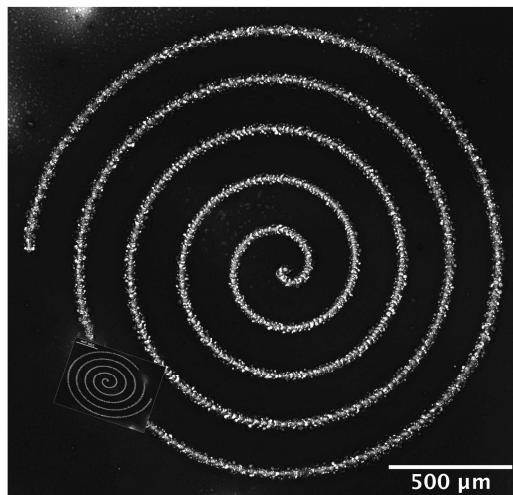
Experimentally, we should be in the proper range

EXPERIMENT

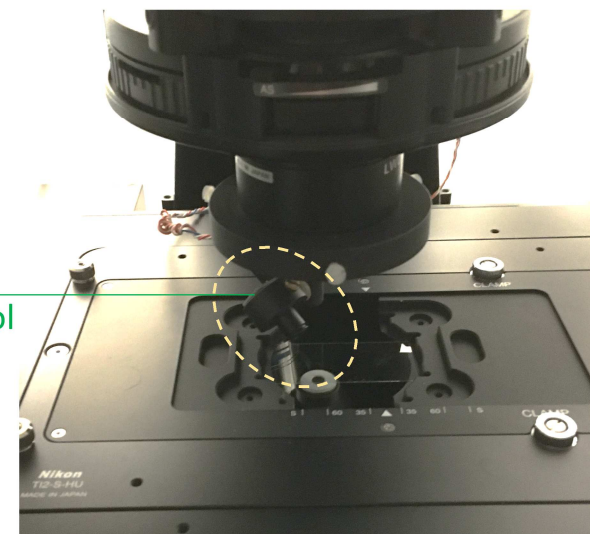
16 μl drop (4.5 mm diameter) containing DNA sample in solution



Spiral-like trajectory to increase irradiated area (60 s irradiation)

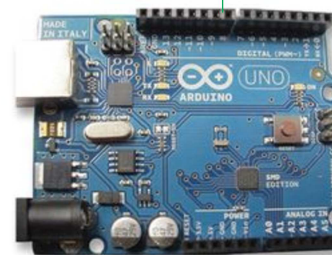


Real-time monitor of the bulk temperature



MLX90614 IR sensor
10 bit – 0.02 $^{\circ}\text{C}$ sensitivity

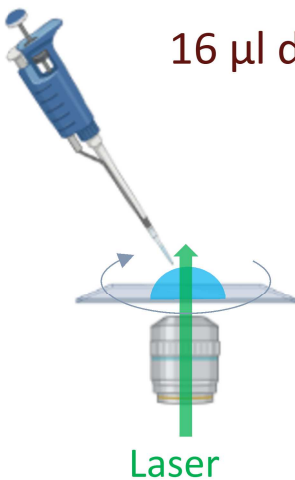
to PC ←



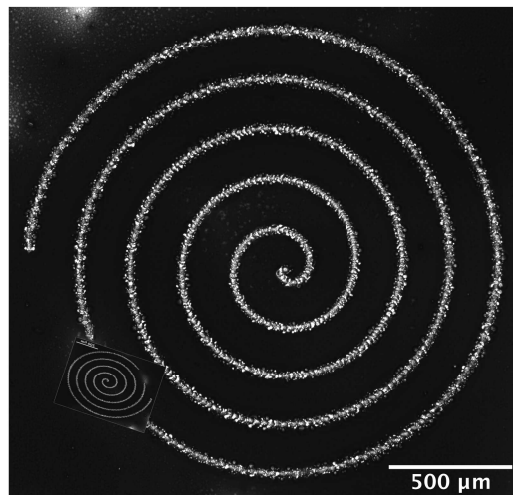
I²C
protocol

EXPERIMENT

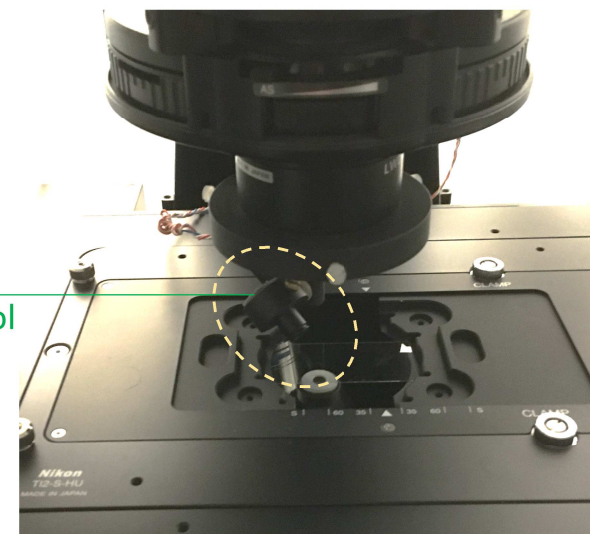
16 μl drop (4.5 mm diameter) containing DNA sample in solution



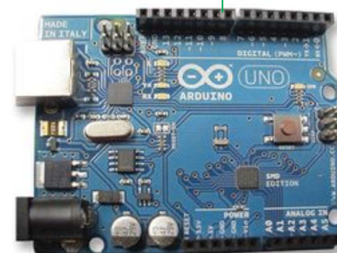
Spiral-like trajectory to increase irradiated area (60 s irradiation)



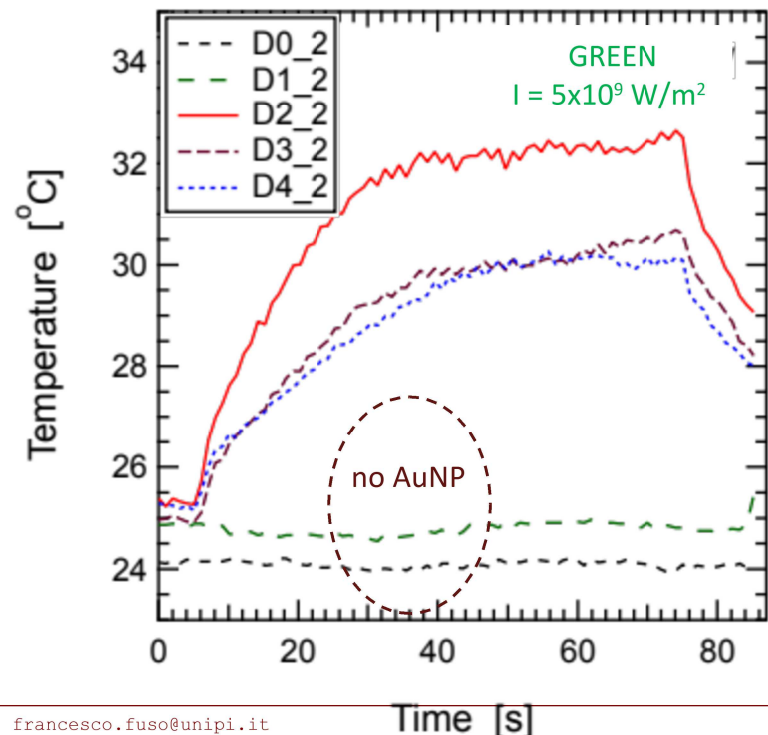
Real-time monitor of the bulk temperature



MLX90614 IR sensor
10 bit – 0.02 $^{\circ}\text{C}$ sensitivity

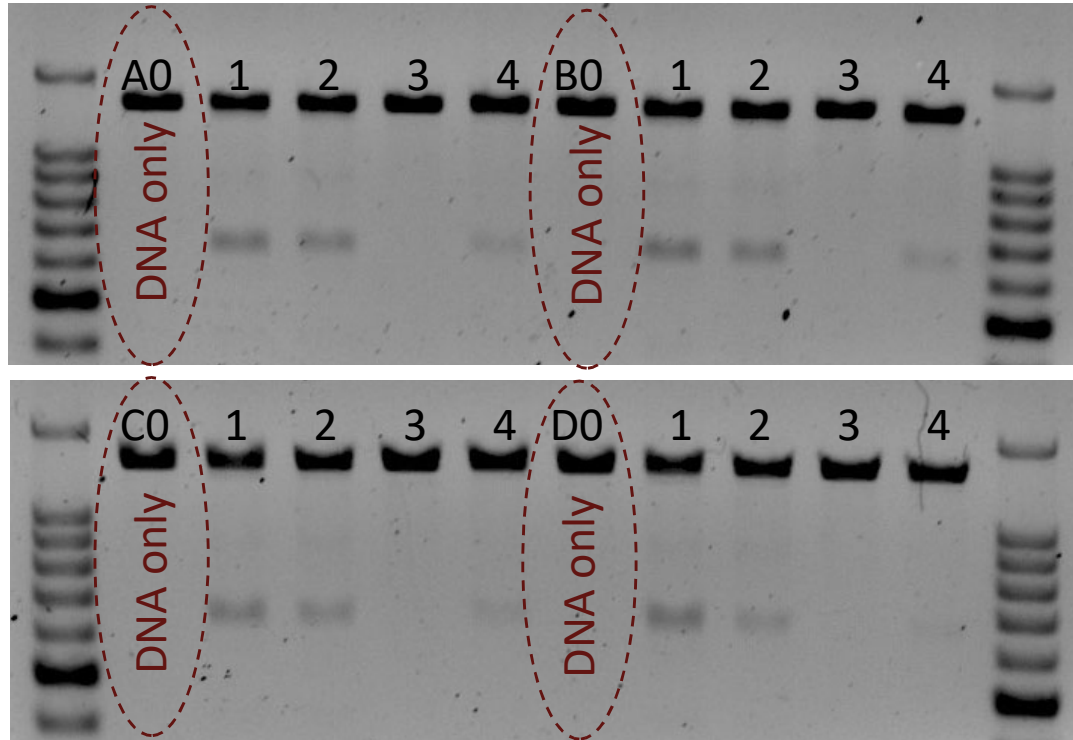


to PC



PRELIMINARY

Electrophoresis gel analysis



Laser intensity

A : 5×10^9 W/m²
B : 2.5×10^9 W/m²
C : 1×10^9 W/m²
D : 0.6×10^9 W/m²

Sample material legend

0 : DNA only
1 : DNA + RE
2 : DNA + AuNP + RE + dCas9
3 : DNA + AuNP + dCas9 (functionalized)
4 : DNA + AuNP + RE + dCas9 (functionalized)

Not properly working, yet!

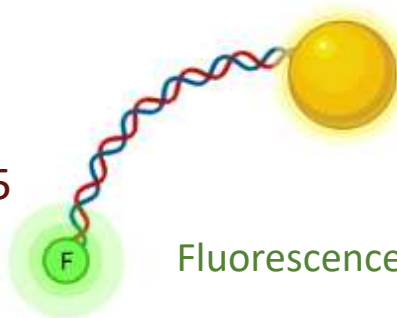
LOCAL TEMPERATURE INCREASE

DNA hairpin functionalized
with a fluorophore



Fluorescence quenched

Cy5

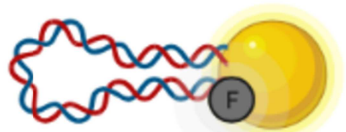


Fluorescence activated

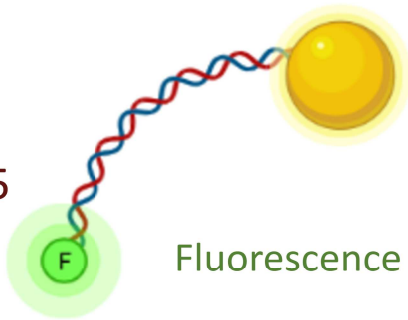
A nanosized thermometer

LOCAL TEMPERATURE INCREASE

DNA hairpin functionalized with a fluorophore

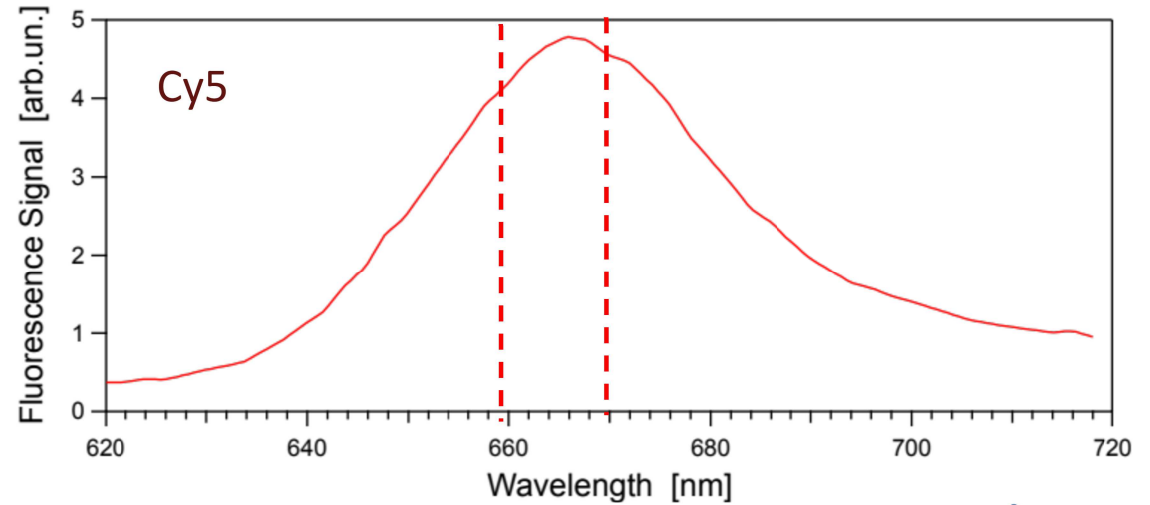
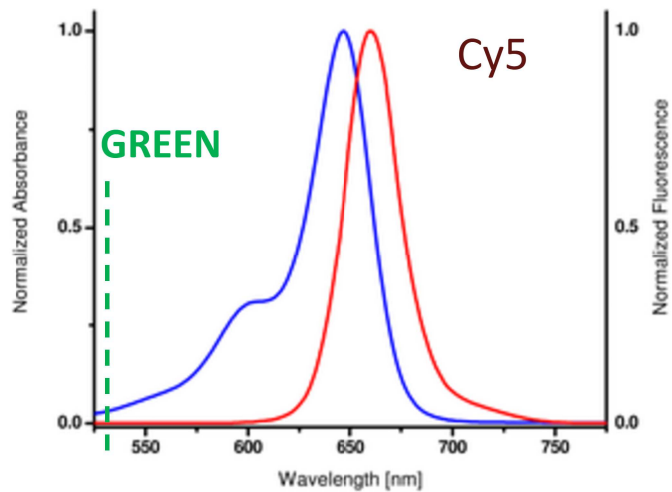
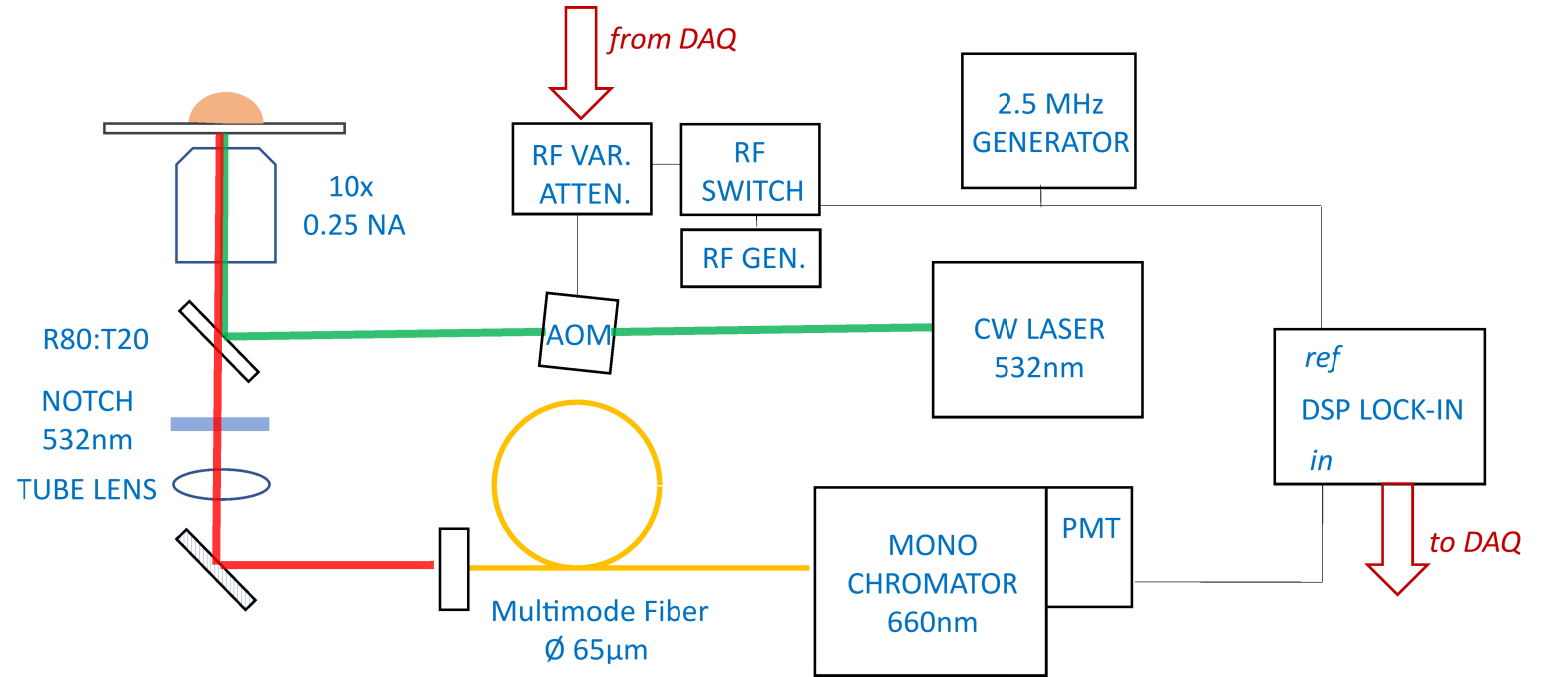


Fluorescence quenched

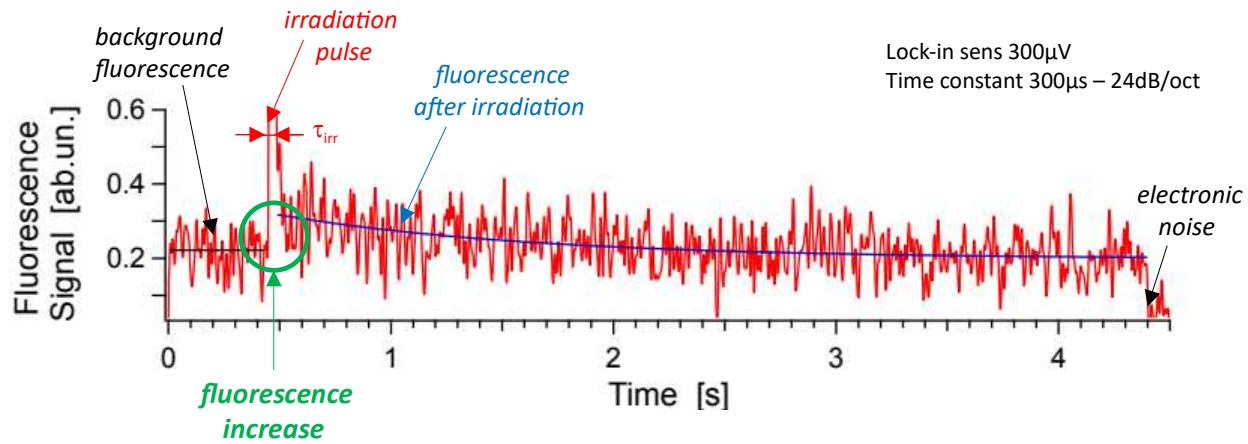
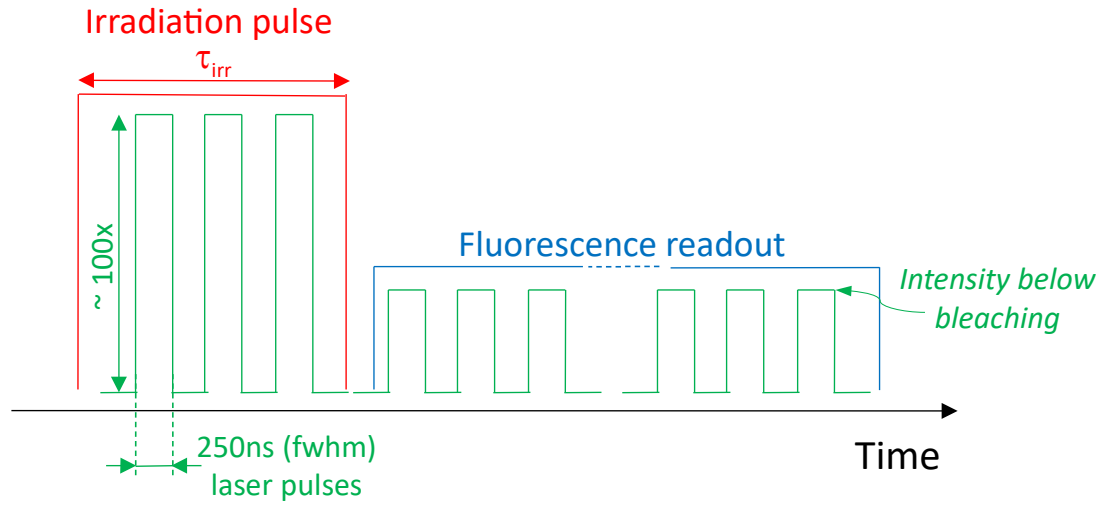


Cy5

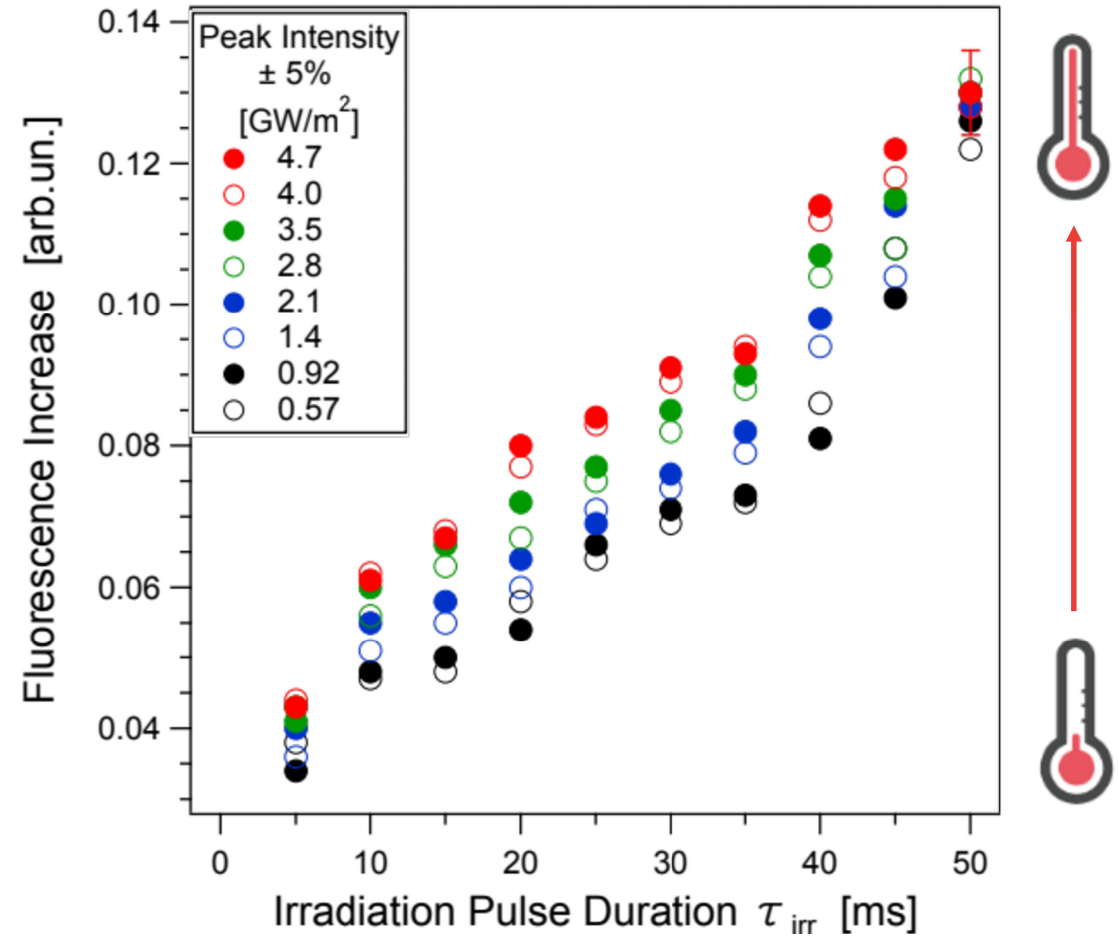
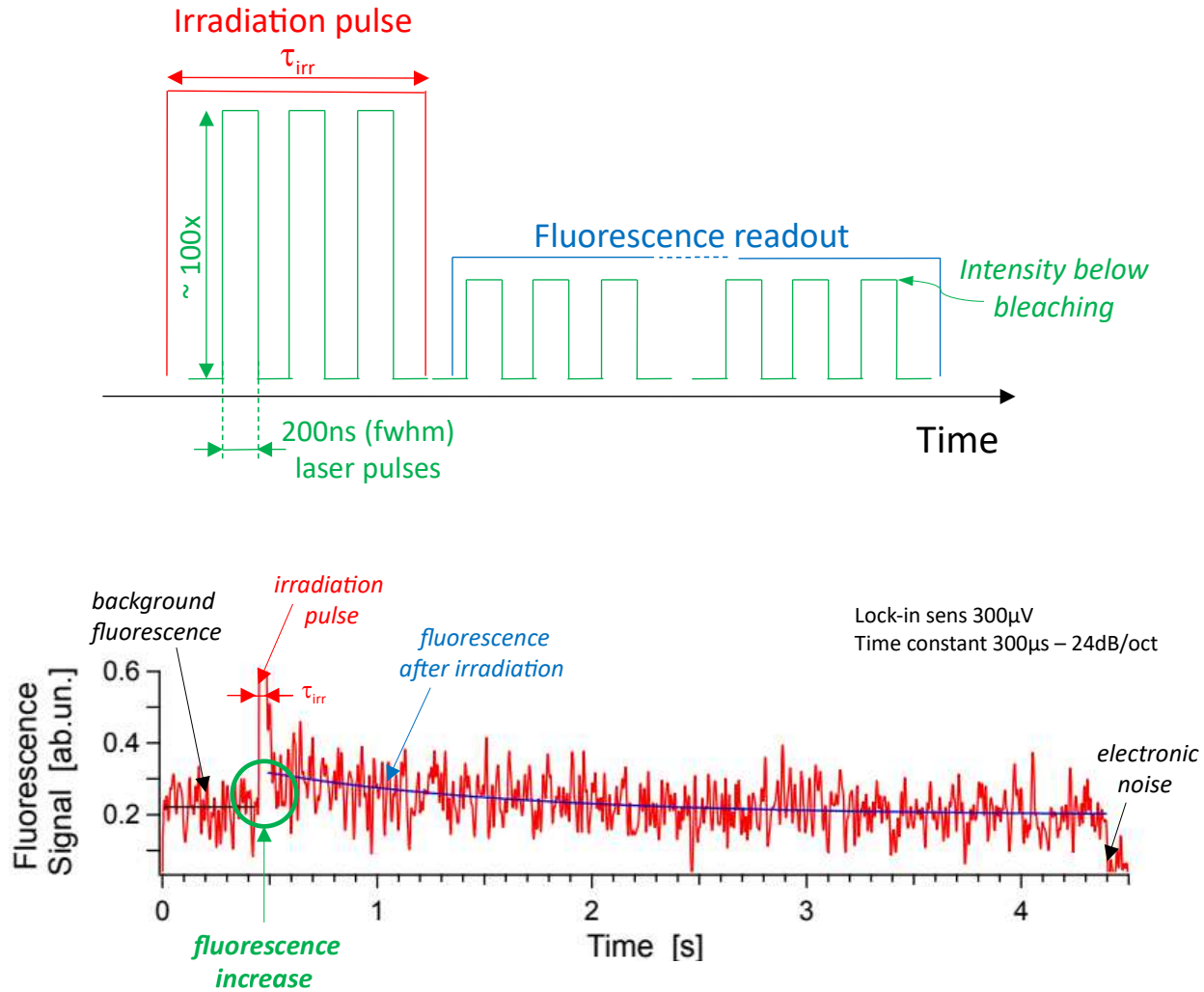
Fluorescence activated



LOCAL TEMPERATURE INCREASE



LOCAL TEMPERATURE INCREASE



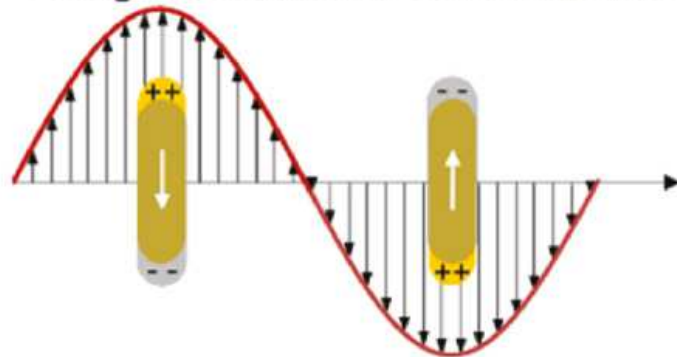
Temperature increase confirmed

**3bis. PLASMONICS:
ANOTHER STRATEGY**

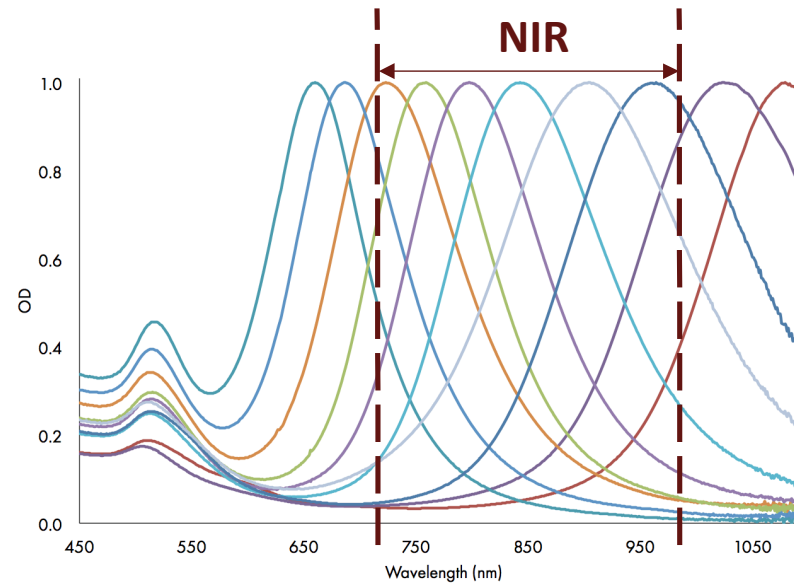
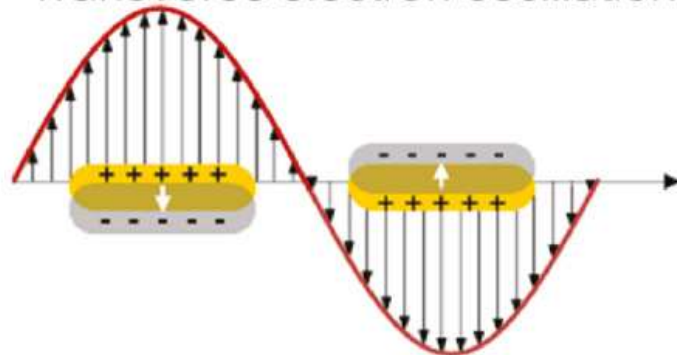
Au NANORODS

Using nanorods rather than nanospheres leads to another, red-shifted, plasmon resonance band

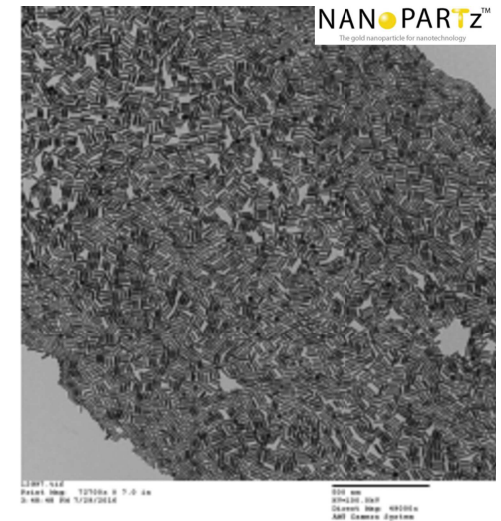
Longitudinal electron oscillation



Transverse electron oscillation



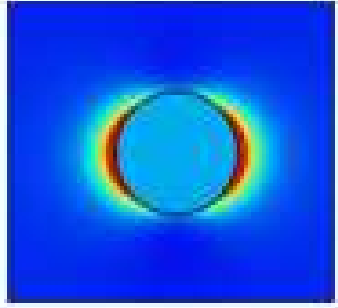
nanoComposix | FORTIS LIFE SCIENCES



<https://doi.org/10.1515/nanoph-2017-0064>

DIMERS

Electric field amplitude



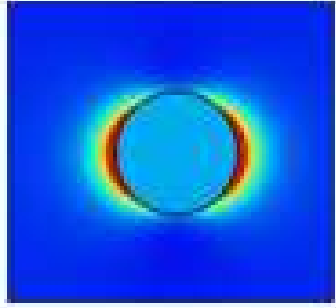
Field amplitude strongly enhanced close to the NP surface

Near-field effect

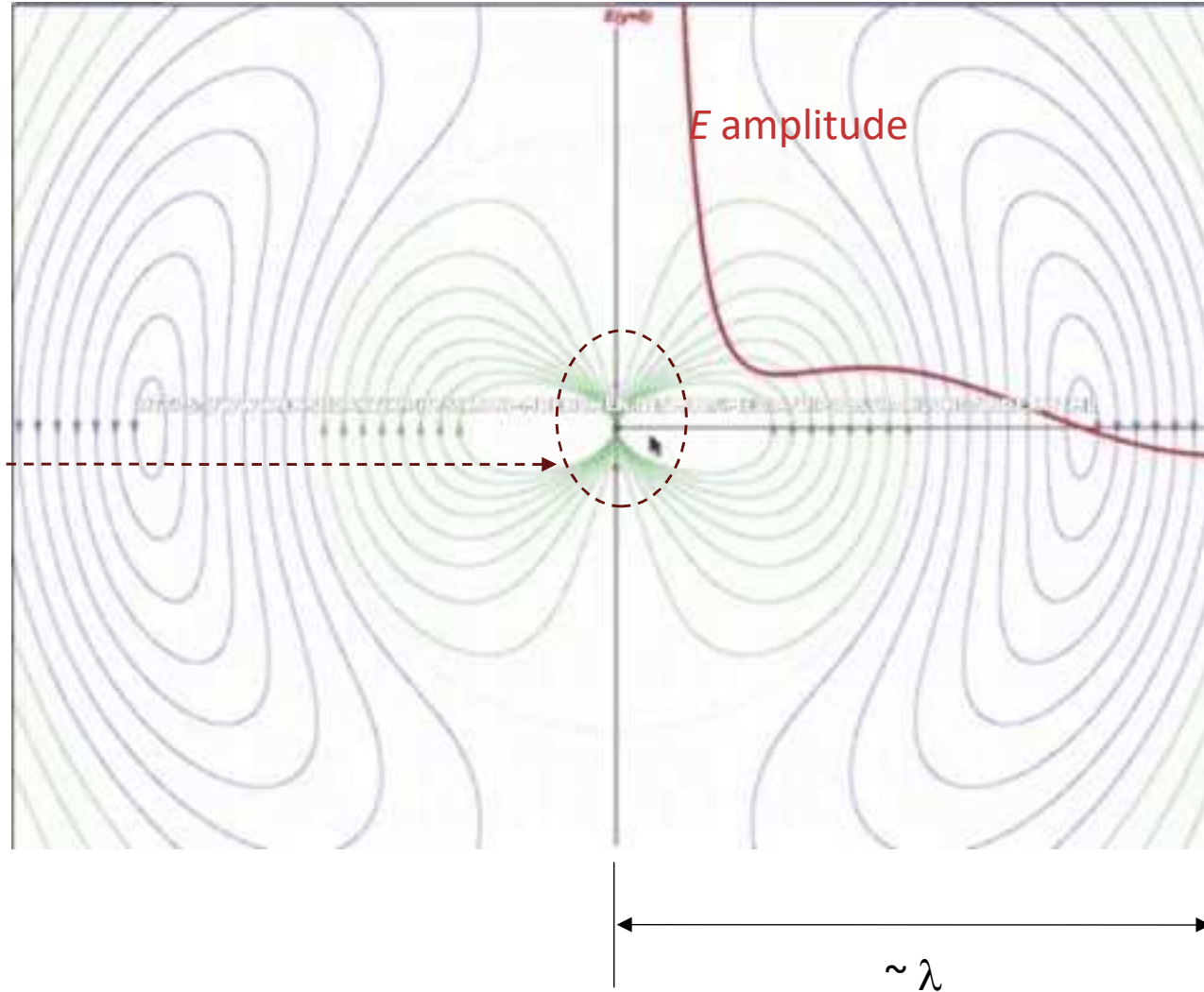


DIMERS

Electric field amplitude

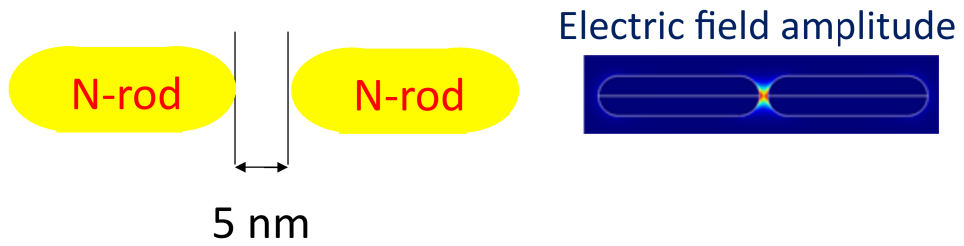


Oscillating dipole

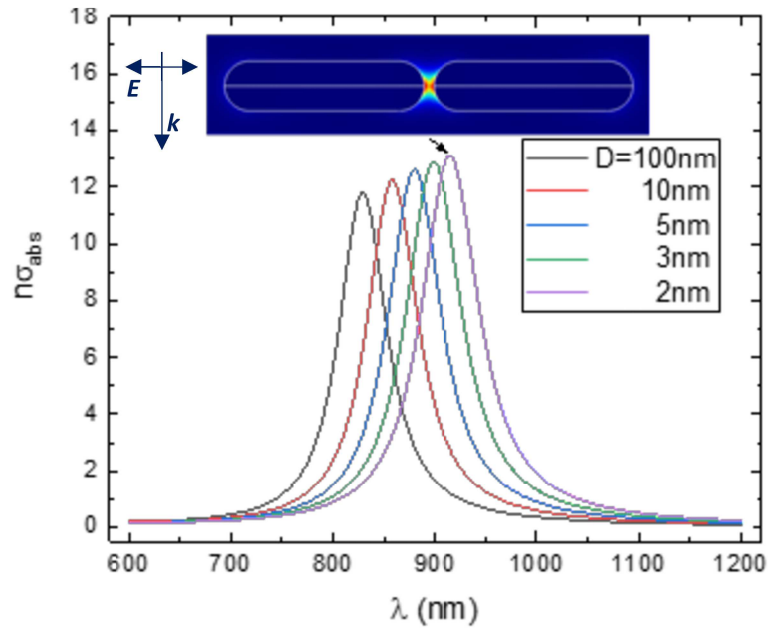


<http://iopscience.iop.org/article/10.1088/0143-0807/37/6/065206/meta>

DIMERS

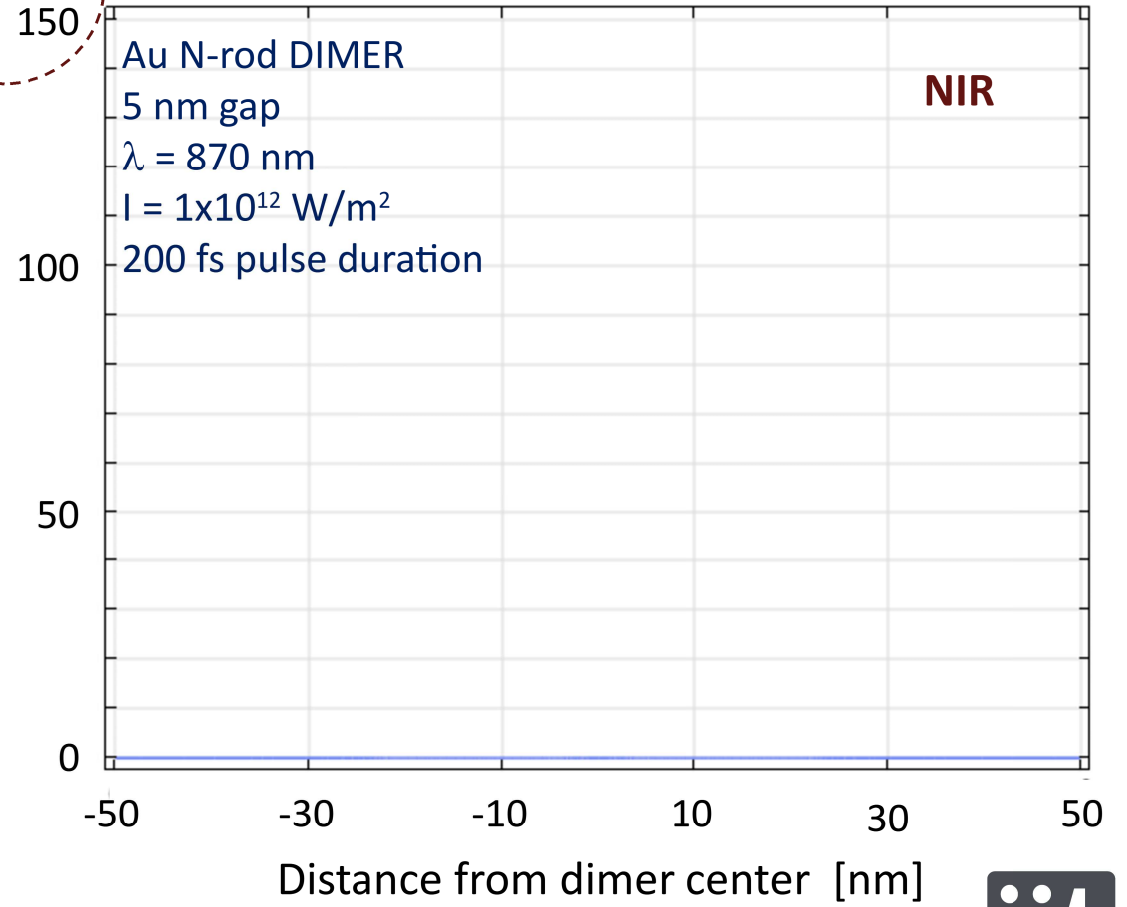


Temperature increase at two subsequent times ($t_2 = t_1 + 100$ fs)

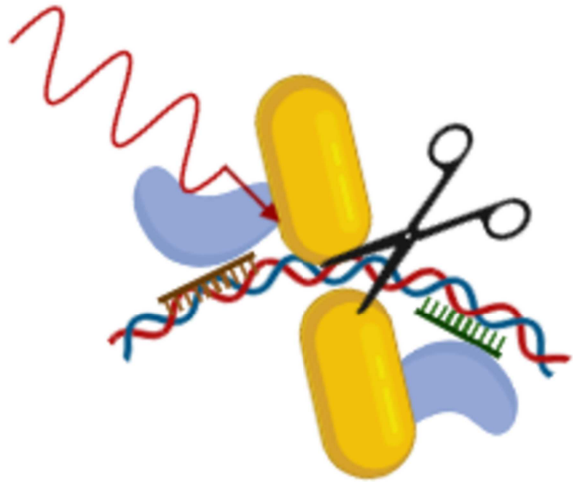


ΔT [K]

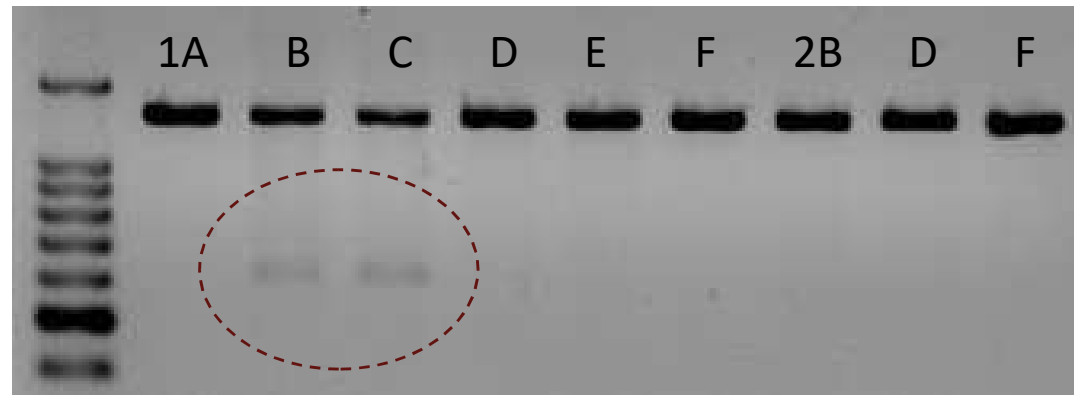
150



PRELIMINARY



Created in BioRender.com bio

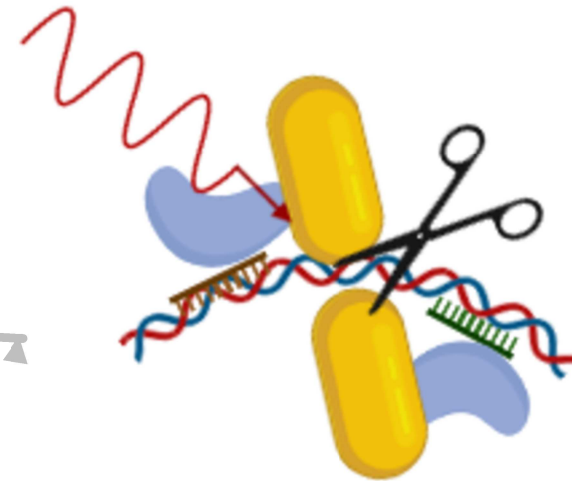


Laser intensity
1 : 8×10^{14} W/m²
2 : 4×10^{14} W/m²

Sample material legend
A : only DNA
B : DNA + dimer @ 15×10^{10}
C : DNA + dimer @ 30×10^{10}
D : DNA + dimer @ 7.5×10^{10}
E : DNA + dimer @ 5×10^{10}
F : DNA + dimer @ 2.5×10^{10}

Maybe!

CONCLUSIONS



- An experimental setup has been developed
- Simulations suggest feasibility
- Preliminary in-vitro tests in progress
- Still lot of work to do including other directions



The presented project is part of the I-GENE project, which is funded by Horizon 2020, Call identifier: H2020-FETOPEN-2018-2020



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Tati Konstantidou



Tiziana Schmidt

I-

Partners

I-GENE Consortium is composed of 5 partners (2 from Accademia and 3 from Industry), recognized for excellence in the field of gene therapy, nanomedicine, photonics and material science.

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